

# UC DAVIS

## COMPLIANCE AND POLICY ANNUAL REPORT

2021-2022



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# I. Introduction

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At UC Davis, compliance is a shared responsibility. All members of the University community are responsible for adhering to University policies as well as the laws and regulations that apply in our environment. The UC Davis Office of Compliance and Policy promotes ethical conduct and a culture of compliance by educating community members about their compliance responsibilities, responding promptly and effectively to reports of misconduct, maintaining comprehensive and accessible policies, leading key compliance initiatives, and working with compliance partners across the University to coordinate compliance efforts.

Reporting to the Office of the Chancellor and led by Chief Compliance Officer Wendi Delmendo, the Compliance and Policy unit is responsible for:

## **Ensuring campus compliance with:**

- Title IX of the Education Act,
- The Americans with Disabilities Act,
- The Clery Act, and
- Affirmative action regulations.

## **Overseeing responses to reports involving:**

- Sexual violence and sexual harassment,
- Other forms of discrimination, harassment, hate and bias,
- Civilian complaints against police officers, and
- Complaints made under the UC systemwide Whistleblower Policy and Whistleblower Protection Policy.

## **Managing:**

- The campus Policy and Procedures Manual,
- Personnel Policies for Staff Members, and
- Delegations of Authority,
- The privacy program for the UC Davis campus;
- The UC Davis compliance committee structure; and
- The annual campus compliance plan.

This report summarizes the key accomplishments of the Compliance and Policy unit during calendar year 2022 and provides an overview of the responses to all complaints filed with the Compliance and Policy unit from July 1, 2021 through June 30, 2022. A detailed list of the following types of reports—sexual harassment, sexual violence, other discrimination and harassment, and hate and bias—is provided in the appendices.



## II. Response to Complaints of Misconduct

The Compliance and Policy office is responsible for ensuring that the University responds effectively to all reports of sexual violence and sexual harassment, reports of other prohibited forms of discrimination and harassment, civilian complaints against police officers and complaints made under the UC systemwide Whistleblower and Whistleblower Protection Policies. The following sections summarize the applicable complaint resolution processes and provide an overview of the complaints received from July 1, 2021 through June 30, 2022 [1].

### A. Whistleblower Reports

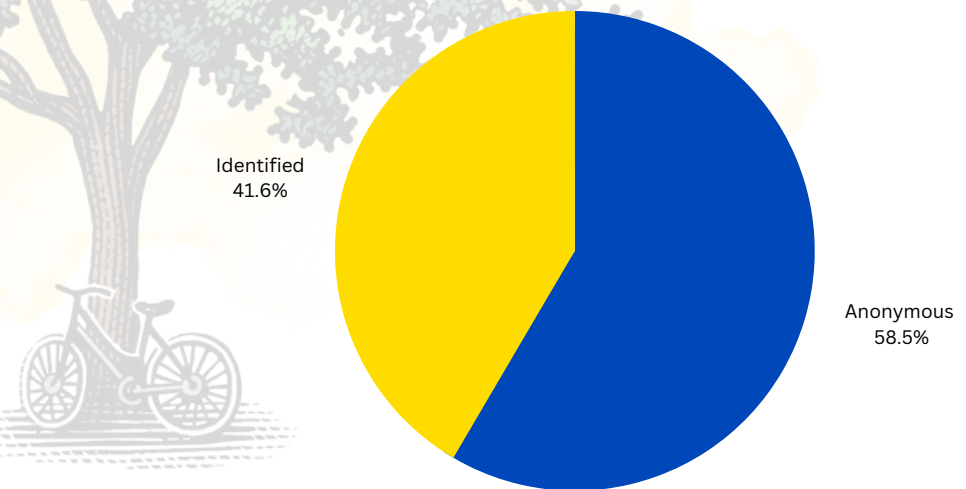
The University of California Policy on Reporting and Investigating Allegations of Suspected Improper Governmental Activities (Whistleblower Policy) governs the reporting and investigation of alleged misconduct by employees at all University locations. A separate Whistleblower Protection Policy establishes procedures for addressing allegations of whistleblower retaliation. UC Davis has a robust process for receiving and responding to whistleblower allegations. UC Davis participates in the systemwide, independently operated whistleblower hotline, which receives whistleblower reports by telephone and on-line on a 24/7 basis.

Whistleblower reports also are submitted directly to the Chief Compliance Officer who serves as the UC Davis Locally Designated Official either by the whistleblowers

themselves or by University officials who become aware of the allegations. The UC Davis Investigations Workgroup, composed of administrators from UC Davis and UC Davis Health, is responsible for addressing whistleblower reports and meets monthly to coordinate complaint response and resolution. For the 2021-2022 reporting period, UC Davis received 219 whistleblower reports.

The following is a breakdown of complaint sources.

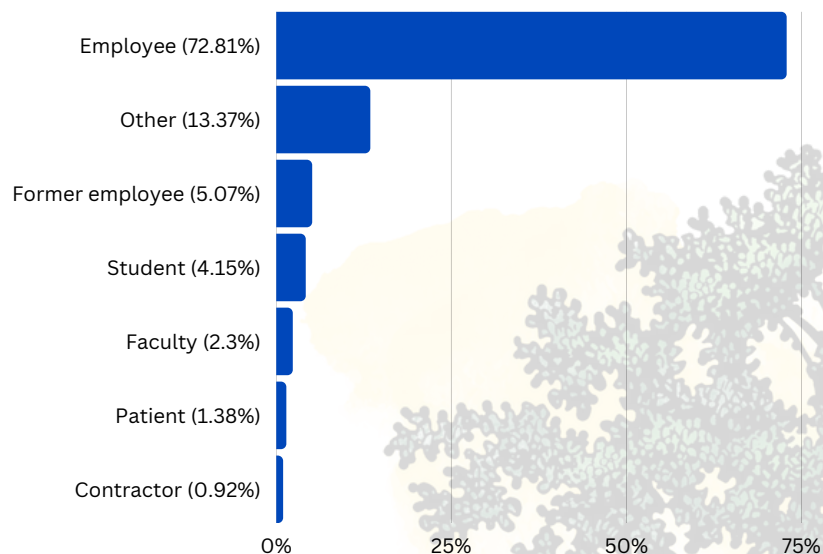
### Anonymous v. Identified Reporter



[1] This time period for complaint handling was selected to allow sufficient time for closure of most complaints received during this period.

## II. Response to Complaints of Misconduct, continued

**Reported Affiliation of Reporter**



The most often cited categories of whistleblower reports in 2021-22 are: workplace misconduct, discrimination/harassment, retaliation, and COVID compliance concerns. However, there were a wide variety of report categories cited encompassing more than 18 different topics and including, but not limited to: conflict of interest/commitment, fraud/theft/embezzlement, medical care and misconduct, information security/privacy violations, sexual misconduct, and child/elder abuse.

Upon receiving a report, Compliance and Policy unit staff members review the concerns. Depending on the nature of the allegations, investigators within the unit

may be charged to conduct a formal investigation.

Alternatively, other University offices— such as Employee and Labor Relations, Academic Affairs, Audit and Management Advisory Services, or Health Compliance—may review the concerns

If a complaint does not allege conduct that falls within the Whistleblower or Whistleblower Protection policies, it will be referred to the appropriate department to review and address. If the complaining party has not provided sufficient information about the complaint to proceed, a staff member will request additional information whenever possible [2].

In 2021-22, the University’s Whistleblower reports were resolved as follows:

UC Davis main campus	UC Davis Health
101 complaints	118 complaints
59 investigated (8 substantiated, 51 unsubstantiated)	55 investigated (7 substantiated, 48 unsubstantiated)
24 referred	38 referred
18 unable to proceed	23 unable to proceed
0 in process	2 in process

[2] If an individual has submitted an anonymous complaint via the whistleblower hotline or online portal, staff will submit any follow-up questions through that system. In rare cases where an individual submits an anonymous paper complaint with no contact information, staff may be unable to solicit additional details.

## II. Response to Complaints of Misconduct, continued

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### **B. Civilian Complaints Filed Against University of California Police Officers**

The UC Davis Police Accountability Board (PAB) is a civilian oversight committee comprised of diverse campus representatives. The PAB receives complaints regarding alleged misconduct by UC Davis police officers. Since 2014, those complaints have been reviewed and investigated by Compliance and Policy office investigators. In 2021-22, 17 complaints were submitted to the PAB. In 1 of these cases, there was sufficient information to conduct an investigation and an investigation was completed.

In the remaining 16 cases, the complaints did not proceed to investigation either because the complainant asked for the complaint to be withdrawn, or the complaint was outside the PAB's jurisdiction. The Director of Investigations in the Compliance and Policy office also serves as an ad hoc member of the PAB and a member of the PAB Steering Committee.

More information about the PAB, including the 2021-22 PAB Annual Report, is available at: <https://pab.ucdavis.edu/annual-report>.

In 2022, the UC Davis Compliance and Policy investigations team took on a systemwide role

investigating civilian complaints against UCPD officers. President Drake's 2021 UC Presidential Community Safety Plan had set a June 30, 2022 deadline for each campus to determine a complaint processing and investigation unit independent of the campus police department. Because the Community Safety Plan highlighted the UC Davis PAB as a model for UC police accountability, campuses began reaching out to the the UC Davis team with questions. Recognizing an opportunity to share resources and expertise, we developed a systemwide MOU providing for UC Davis investigators to conduct police oversight investigations throughout the UC system. The MOU took effect on October 1, 2022.

### **C. Reports of Sexual Violence, Sexual Harassment (SVSH), and Other Forms of Prohibited Harassment and Discrimination**

The Office of Compliance and Policy oversees the response to all reports involving sexual violence and sexual harassment, other prohibited forms of discrimination and harassment, and hate and bias impacting anyone in the University community, including students, employees, patients and visitors. The office is also responsible for ensuring that the University community receives education regarding the prevention of sexual harassment and sexual violence, as discussed further in the section discussing Title IX compliance efforts.

## II. Response to Complaints of Misconduct, continued

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Effectively responding to reports of all forms of harassment and discrimination is a priority for the University. Compliance has devoted substantial staff resources to this effort:

- The Chief Compliance Officer serves as the Title IX Officer/Lead Discrimination Officer and is responsible for coordinating a prompt and equitable response to all reports of prohibited harassment and discrimination.
- Staff members from the Harassment & Discrimination Assistance and Prevention Program (HDAPP) educate members of the UC Davis and UC Davis Health communities about the prevention of all forms of discrimination and harassment. HDAPP also assists individuals and units at UC Davis, UC Davis Health, and UC Agriculture and Natural Resources (ANR) in resolving conflicts and complaints related to harassment, discrimination, sexual harassment, sexual violence and hate and bias. HDAPP serves as the central office for receiving reports and maintaining records of these types of complaints. Given the significant increase in case reporting [3], HDAPP was approved to increase staffing by adding 2 Education and Case Specialists. Recruitment for these positions is pending.

- Compliance investigators conduct formal investigations involving allegations of sexual violence, sexual harassment and other forms of discrimination and harassment [4].
- The Response Team Coordinator (“RTC”) is responsible for providing consistent case management and coordination of all sexual violence and sexual harassment reports.

In addition to completing extensive training related to handling SVSH matters, the Compliance staff also participate in several diversity related training programs every year to expand our knowledge and enhance our cultural competency. In 2022, Compliance staff participated in diversity education focused on the following topics: (1) gender identity in investigations; (2) unconscious bias; (3) antisemitism; (4) religious differences; (5) disability awareness; (5) LGBTQIA inclusion in the workplace; (6) generational differences; (7) intercultural communication; and (8) racial injustice.

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[3] Please see the 2021-2022 Harassment and Discrimination Case Statistics Section.

[4] These investigators also investigate whistleblower and whistleblower retaliation complaints and civilian complaints against the UC Davis Police Department on behalf of the UC Davis Police Accountability Board (PAB). In 2021-22 Compliance investigators conducted 63 formal investigations across all complaint categories.

## II. Response to Complaints of Misconduct, continued

### **i. Receipt of Harassment and Discrimination Complaints**

The University endeavors to eliminate barriers for bringing complaints of sexual violence and sexual harassment, discrimination and harassment, and hate and bias. Complaints can be made directly to HDAPP via phone, email, and in person. There is an anonymous call line for persons who wish to report by phone without disclosing their identities [5]. There are also two online reporting options, one for filing reports of sexual violence [6] and another for reporting discrimination, hate and bias [7]. Both of the on-line portals provide for anonymous reporting.

Complaints may be filed by complainants, witnesses, other concerned parties, or responsible employees [8].

All University employees (including student employees) who, within the course and scope of their employment, learn about sexual harassment or sexual violence, or discrimination or harassment on the basis of protected identity involving students or patients are required to report these incidents to the Compliance Office (via HDAPP). Additionally, certain University officials – managers, supervisors, faculty, coaches, department chairs, human resources coordinators, academic personnel coordinators, and student conduct coordinators – are required to report directly to HDAPP all incidents of

sexual violence and sexual harassment and discrimination and harassment involving employees.

### **ii. Case Management Teams (CMTs)**

UC Davis takes a collaborative approach to the review and resolution of concerns related to sexual violence and sexual harassment, other forms of discrimination and harassment, and hate and bias. UC Davis implements this collaborative approach through multiple case management teams (CMTs) that review all complaints of sexual violence and sexual harassment, other forms of discrimination and harassment, and hate and bias.

Members of the CMTs include the Chief Compliance/Title IX Officer and representatives of HDAPP and may include the RTC and representatives from the Office of Student Support and Judicial Affairs (OSSJA), Academic Affairs (AA), Employee and Labor Relations (ELR), Campus Counsel, Student Affairs, Diversity, Equity and Inclusion (DEI), the UCD Police Department (UCDPD), and the Center for Advocacy, Resources, and Education (CARE).

When the report involves allegations of sexual harassment or sexual violence against a patient, we convene an Incident Response Team including several representatives from UC Davis Health.

[5] More information about reporting to HDAPP can be found at <https://hdapp.sf.ucdavis.edu/report-incident>.

[6] More information about reporting sexual violence online can be found at <https://sexualviolence.ucdavis.edu/file-report>.

[7] More information about online reporting of incidents of discrimination, hate and bias can be found at <https://reportheateandbias.ucdavis.edu/filing-report>.

[8] Responsible Employees are required to contact HDAPP directly via phone or email and may not report a concern using an online reporting option.



## II. Response to Complaints of Misconduct, continued

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After receiving a report, an HDAPP staff member will initiate contact with the complainant to obtain additional information, provide information about the complaint resolution process, and refer the complainant to support services. Through discussions with the CMT, we determine the appropriate resolution process and take the steps needed to proceed with that resolution. The CMT tracks all complaints through resolution, ensuring the process moves forward in a timely, fair, and thorough manner.

### iii. Resolution Processes

Depending on the nature of the allegations (i.e. whether the allegations on their face, if true, would constitute a violation of policy), the wishes of the complainant, and the needs of the University to ensure the safety of the broader University community, complaints will be resolved through informal resolution strategies, alternative resolution, or formal investigation. Whenever possible and where appropriate, the complainant will be informed when a complaint is resolved and, in some cases, what specific resolution came from their complaint [9].

Informal resolution strategies are used when the allegations suggest concerning behavior that would not rise to a level of a policy violation, but nevertheless should be addressed. Informal resolution strategies

typically include conducting an administrative review or preliminary inquiry including fact-finding; coordinating a conversation with the respondent with written follow up (i.e. a “documented discussion”); conducting targeted educational programs; and providing remedies or referral to support services for the individual who was harmed. No Contact Orders (NCOs) can also be requested through HDAPP. A NCO is a directive issued to a student (i.e. the Respondent) that requires them to avoid all contact with the requesting party (i.e. the Complainant) when the Respondent is alleged to have engaged in behavior against the Complainant that is prohibited by the UC SVSH Policy [10].

Most complaints are resolved using informal resolution strategies, particularly when the University does not have sufficient information to proceed with a formal review (i.e. there is not sufficient information about a party’s identity or a sufficient connection to the University and/or one of its programs).

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[9] Complainants in formally investigated sexual harassment/sexual violence and other discrimination and harassment complaints are entitled to know the outcome of the investigation, including any corrective action taken with the Respondent. Complainants in other formally investigated complaints are entitled to know the outcome of the investigation, but not any corrective action taken with the Respondent as that remains a confidential personnel or educational action. In matters that are informally resolved, Complainants are typically informed when the matter is resolved.

[10] For more information about NCOs, please visit <https://sexualviolence.ucdavis.edu/request-no-contact-order>.

## II. Response to Complaints of Misconduct, continued

Alternative resolution is a process available to resolve complaints of sexual harassment and sexual violence between students when the complainant does not wish there to be a formal investigation [11].

Alternative resolution is a voluntary process entered into by both the complainant and the respondent. The remedies are determined and agreed to by the parties with support from HDAPP. Examples of alternative resolution remedies include: targeted education; (separating the parties; referring a party to counselling; negotiating corrective actions; and conducting follow-up reviews to ensure the resolution has been implemented effectively.

When a complaint is resolved via a formal investigation, a Compliance investigator [12] will be assigned to conduct a fair, prompt, and thorough fact-finding. The investigator will speak to the parties and relevant witnesses, gather and review pertinent documents, and analyze the information consistent with the appropriate policy and investigative framework. Using a preponderance of the evidence standard [13], the investigator will make findings of fact and a determination of whether University policy was violated.

### iv. 2021-22 Harassment and Discrimination Case Statistics[14]

1170 complaints were reported to the Title IX Office or HDAPP in 2021-22, a 45.5% increase over reports received in 2020-21 and a continuation of the trend seen over the past three years (Figure 1). The majority of the complaints (797 cases, or 68.1%) were at the Davis campus. 363 (31.0%) of the complaints involved incidents at UC Davis Health. Eight (0.7%) cases involved UC Agriculture and Natural Resources (ANR).

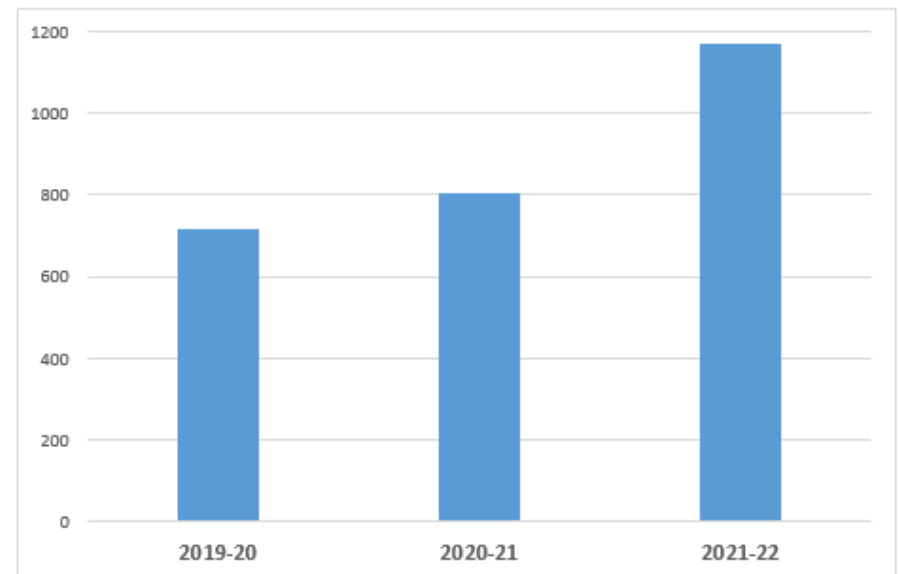


Figure 1. Complaints received by the Title IX/HDAPP Office from 2019-20 to 2021-22.

[11] There are times when an investigation must be commenced against a Complainant's wishes, particularly when the alleged behavior of the Respondent poses a risk to the broader University community.

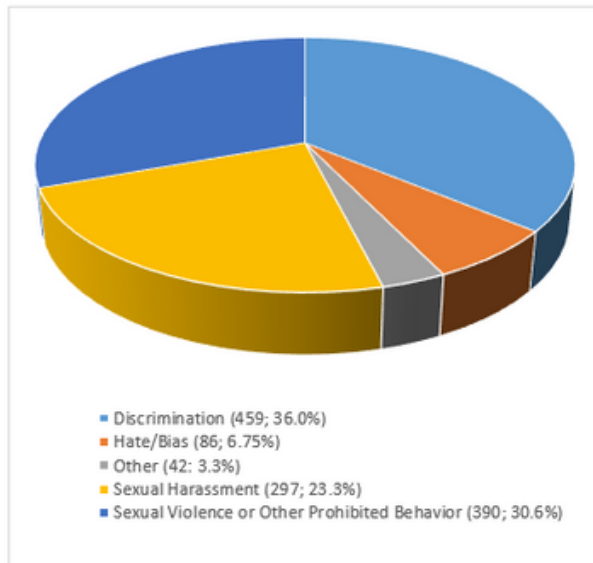
[12] There are occasions when an outside investigator may be charged at the discretion of the Chief Compliance Officer/Title IX Officer.

[13]The preponderance of the evidence standard of proof means "more likely than not."

[14] Data represents complaints received by the Compliance and Policy Office between July 1, 2021 through June 30, 2022.

## II. Response to Complaints of Misconduct, continued

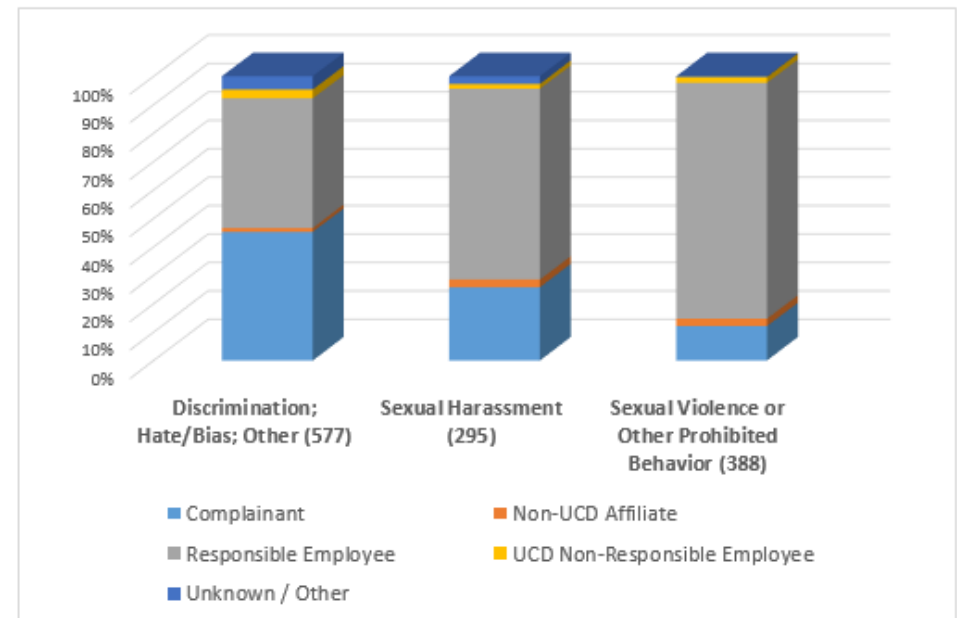
Figure 2 shows the distribution of complaints according to category. Complaints of discrimination comprised just over one third (36.0%) of the total reports received by the Title IX/HDAPP Office while sexual harassment and sexual violence or other prohibited behavior each comprised approximately one quarter of the reports received (23.3% and 30.6%, respectively).



**Figure 2.** Complaints received by the Title IX/HDAPP Office in 2021-22, separated by complaint category. Values in parentheses indicate total number and percentage of complaints. Some complaints included allegations in more than one of the categories listed above; those complaints were counted multiple times.

Figure 3 shows the distribution of the affiliation of the initial reporting party for each complaint, separated by complaint category. Note that, when compared with Figure 2, Discrimination, Hate/Bias, and Other are shown as a single combined category.

Over 90% of the discrimination, hate/bias, and other types of complaints were received directly from the Complainant or a Responsible Employee (45.2% and 45.6%, respectively). Complaints alleging sexual harassment were most frequently received from a responsible employee or the Complainant (67.1% and 25.8%, respectively). 83.0% of all complaints alleging sexual violence or other prohibited behavior were reported by a responsible employee.



**Figure 3.** A column chart showing the affiliation of the reporting parties for complaints received by the Title IX/HDAPP Office in 2021-22, separated by complaint category. Note that values in columns are percentages. Numbers in parentheses show the number of complaints received for each category. Some complaints included allegations in multiple categories; those complaints were counted multiple times.

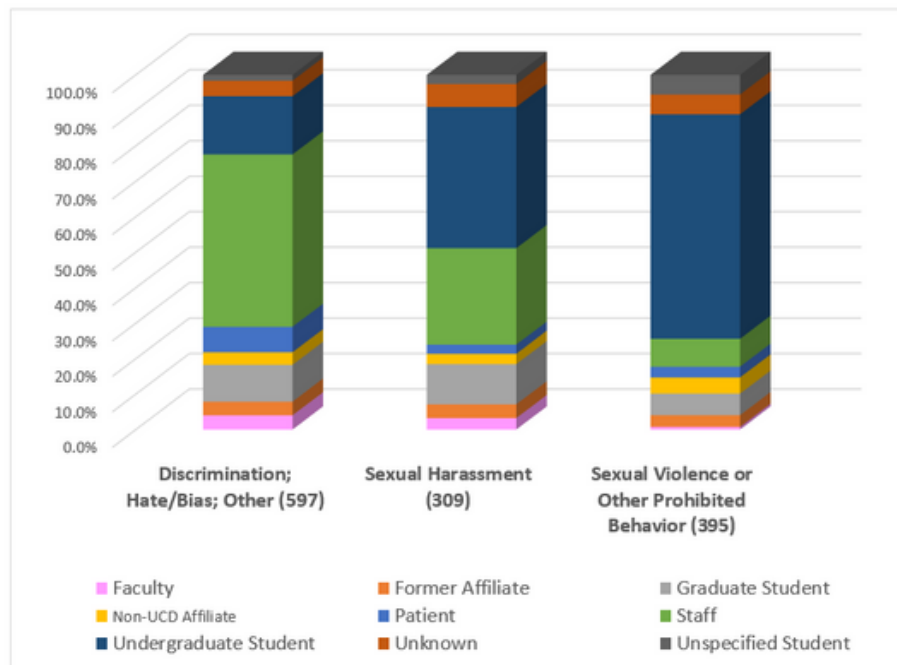
## II. Response to Complaints of Misconduct, continued

Figures 4 and 5 summarize the Complainant and Respondent affiliation for each complaint, sorted by complaint category. UCD staff members were the most frequent Complainants and Respondents in discrimination, hate/bias, and other complaints (48.6% and 41.6%, respectively). Staff members also comprised the largest proportion of Respondents in reports of sexual harassment (34.2%), followed by undergraduate students (27.9%). Undergraduate students comprised the largest proportion of Complainants for reports involving sexual harassment or sexual violence or other prohibited behaviors (39.8% and 63.3%). The most frequent affiliation for Respondents in incidents alleging sexual violence or other prohibited behaviors was unknown (39.2%) followed by undergraduate students (26.9%).

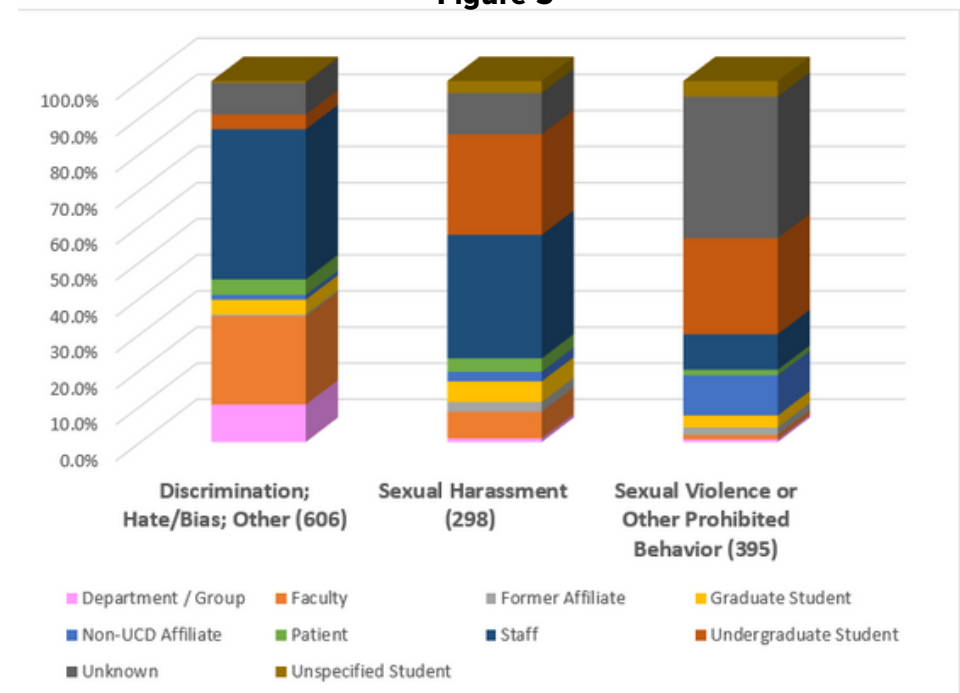


**Figures 4 & 5.** Column charts showing the affiliation of Complainants and Respondents for complaints received by the Title IX/HDAPP Office in 2021-22, separated by complaint category. Note that the values in columns are percentages. Numbers in parentheses show the number of Complainants and Respondents represented in each category. Some complaints included multiple Complainants and/or Respondents with different affiliations and were counted multiple times.

**Figure 4**



**Figure 5**



## II. Response to Complaints of Misconduct, continued

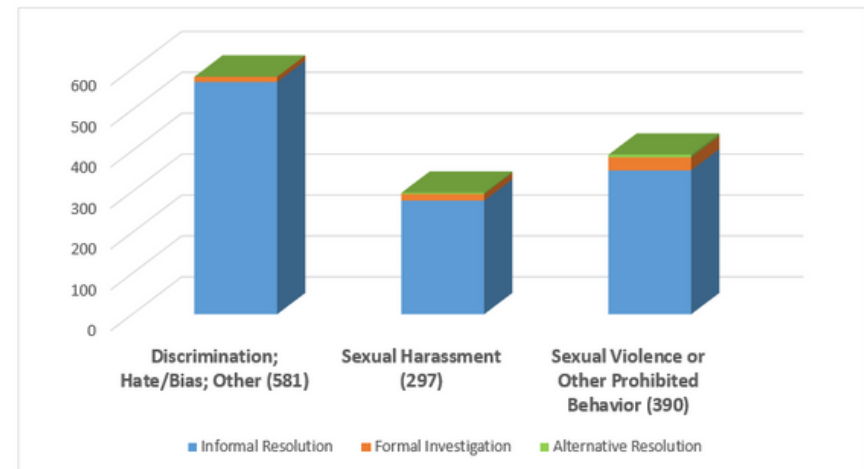
Tables 1a and 1b summarize the bases of the discrimination, harassment, sexual harassment, and sexual violence complaints received, separated by resolution type. Figure 6 shows the frequency of the different complaint resolution types, separated by complaint category.

**Table 1a.** Discrimination, Hate/Bias, and Other complaints received in 2021-22, sorted by complaint and resolution type. Values in each column indicate number of complaints. Some complaints included more than one protected identity; those complaints are counted on the table multiple times. No discrimination, hate/bias or other complaints were resolved using Alternative Resolution, which is only available for sexual harassment and sexual violence complaints.

Protected Identity	Informal Resolution	Formal Investigation
Age	28	0
Ancestry	1	0
Citizenship	2	0
Color	6	0
Disability	49	0
Ethnicity	5	0
Gender	76	5
Gender Expression	2	0
Gender Identity	10	1
Marital Status	1	0
Medical Condition	43	2
National Origin	26	0
Pregnancy	8	0
Race	206	3
Religion	21	0
Retaliation	11	1
Sexual Orientation	19	0
Veteran Status	0	0
Retaliation	11	1
Hate/Bias	79	0
Non-Specific/Other	91	1

**Table 1b.** Sexual harassment, sexual violence and other prohibited behavior complaints received in 2021-22, sorted by complaint and resolution type. Values in each column indicate number of complaints. Some complaints included multiple prohibited behaviors; those complaints are counted on the chart multiple times.

Prohibited Behavior	Informal Resolution	Other Inquiry	Alternative Resolution	Formal Investigation
Sexual Harassment (Hostile Environment)	263	0	3	19
Sexual Harassment (Quid Pro Quo)	2	0	0	2
Sexual Harassment (Nonspecific)	2	0	0	0
Sexual Assault (Contact)	26	0	2	13
Sexual Assault (Penetration)	42	0	0	9
Sexual Assault (Nonspecific)	105	0	1	0
Relationship Violence	107	0	1	1
Stalking	47	0	0	3
Sexual Exploitation	1	0	0	0
Violating a No Contact Order or other order of exclusion	0	0	0	0
Indecent Exposure	9	0	1	0
Intercourse with a Minor	1	0	0	1
Invasion of Sexual Privacy	14	0	0	4
Retaliation	7	0	0	1
SVSH (Nonspecific)	11	0	0	0



**Figure 6.** A column chart showing the resolution of complaints received by the Title IX/HDAPP Office in 2021-22, separated by complaint category. Numbers in parentheses show the number of resolutions for each category. Some resolutions included allegations in multiple categories; those resolutions were counted multiple times.

## II. Response to Complaints of Misconduct, continued

### Formal Investigation Outcomes

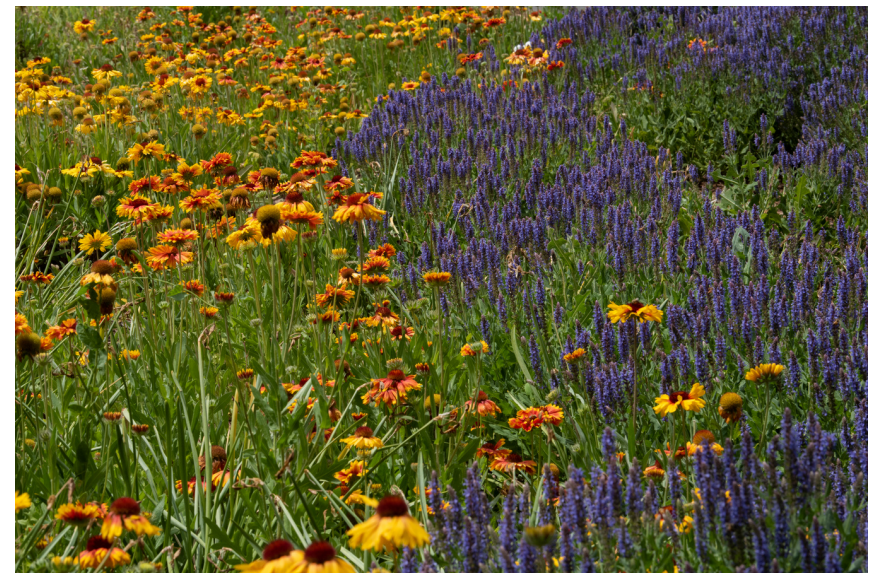
Forty-nine formal investigations were charged in 2021-22. This includes some cases that were received by the Title IX/HDAPP office prior to July 1, 2021 and excludes some cases that were received in 2021-22, but charged for investigation after June 30, 2022. The latter will be summarized in the 2022-23 annual report. Twenty of the formal investigations completed resulted in a finding that some or all of the alleged behavior constituted a policy violation. Tables 2 and 3 summarize the outcomes of the formal investigations, separated by complaint category.

**Table 2.** A summary of the outcomes of complaints charged and resolved via Formal Investigation in 2021-22, separated by complaint category. Investigations that included allegations in multiple categories were counted multiple times. The table does not show investigations that are still pending or that were administratively closed prior to completion.

Investigation Finding	Discrimination	Sexual Harassment	Sexual Violence or Other Prohibited Behavior
No policy violation	9	7	8
Policy violation	4	10	10

**Table 3.** A summary of the final disciplinary outcome of complaints charged and resolved via Formal Investigation in 2021-22 when discipline was assigned. Complaints that included allegations in multiple categories were counted multiple times.

Discipline	Discrimination	Sexual Harassment	Sexual Violence or Other Prohibited Behavior
Academic Suspension (1-3 years)	0	4	6
Employment Suspension (3-5 days)	0	2	0
Academic Dismissal	0	0	1
Employment Terminated	0	3	1
Letter of Censure	1	0	0
Letter of Serious Concern	1	0	0
Referral to Alcohol, Tobacco, and Other Drug Intervention Services	0	0	1
Training or Educational Sanction	0	0	1
Respondent Resigned or Retired in Lieu of Termination or Other Serious Discipline	0	1	1
Delay of Graduation or Release of Diploma or Transcripts (2-5 years)	0	0	1

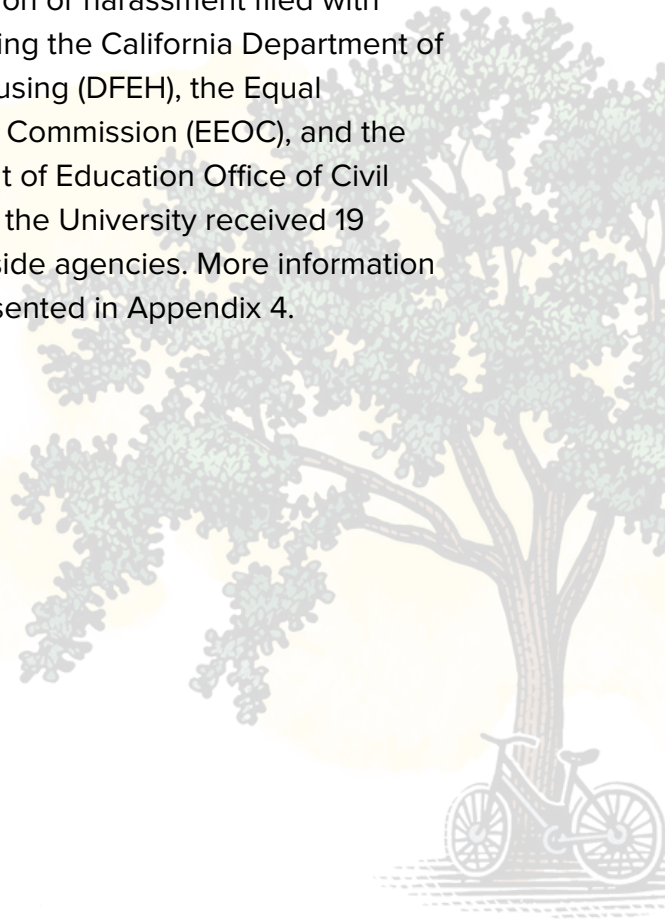


## II. Response to Complaints of Misconduct, continued

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### C. Complaints Filed with External Agencies

In addition to responding to complaints and reports filed internally with the University, Compliance responds to all complaints of discrimination or harassment filed with external agencies, including the California Department of Fair Employment and Housing (DFEH), the Equal Employment Opportunity Commission (EEOC), and the United States Department of Education Office of Civil Rights (OCR). In 2021-22, the University received 19 complaints filed with outside agencies. More information about these cases is presented in Appendix 4.



# III. Compliance Program Responsibilities

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In addition to receiving and responding to the more than 1,000 reports of misconduct discussed above, the Compliance and Policy office ensures campus compliance with Title IX of the Education Act, the Americans with Disabilities Act, the Clery Act, and affirmative action regulations.

The Compliance and Policy office also coordinates the campus policy process, manages the UC Davis privacy program, and oversees general compliance efforts at UC Davis, including development of an annual compliance plan. The following sections provide more details about each of these responsibilities.

## A. Title IX

Title IX compliance includes both responding to reports of sexual harassment and sexual violence (discussed in section II.C, above) and ensuring that the campus has policies and programs in place to prevent sexual misconduct and provide a learning and working environment free of gender-based harassment and discrimination.

### SVSH Prevention Education

The University is committed to ensuring faculty, staff, graduate and professional students, and undergraduate students are equipped to recognize, prevent, report, and address matters related to sexual violence and sexual

harassment. We use a variety of methods and programs to engage the UC Davis community, both on the Davis and Sacramento campuses. This includes in-person, instructor-lead programs, co-facilitated programs in partnership with various units on campus, online programs, and webinars [15].

All members of the UC community — students, staff, faculty and other academic appointees — are required to receive sexual violence prevention and intervention training and education. All incoming students must complete mandatory sexual violence prevention training within the first six weeks of beginning classes at UC Davis.

Faculty and staff supervisors are legally required to complete two hours of sexual harassment prevention training every two years, and new faculty and supervisors are required to take training within 90 days of hire. Staff and academic appointees who are not supervisors are also required to complete sexual harassment and sexual violence prevention training within the first six weeks of hire and every two years thereafter.

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[15] During 2021-2022, most live trainings were delivered via Zoom; however, upon request, some trainings were presented in person.



### III. Compliance Program Responsibilities

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Most of the training for employees is completed through on-line training programs. However, during the reporting period, HDAPP completed 32 training sessions covering over 300 staff and faculty, and over 200 students. Additionally, HDAPP provided educational materials to new employees to the Health campus at bi-weekly New Employee Orientation, reaching approximately 1900 new employees. HDAPP also oversaw the completion of online training for 8847 incoming undergraduate students and 2281 graduate students [16.]

The mandatory training compliance rate for employees in 2021-2022 is:[17]

Supervisors: 89%  
Non-Supervisors: 89%

#### Coordinated Community Review Team (CCRT)

The CCRT is responsible for developing and maintaining a collaborative approach to preventing and addressing sexual violence. The CCRT is comprised of University and community stakeholders and serves in an advisory capacity to campus leadership about best practices in

education, prevention and response to sexual assault, relationship violence, and stalking as well as other behavior prohibited by the University's Sexual Violence and Sexual Harassment Policy. The CCRT is co-chaired by the Chief Compliance Officer and the Associate Vice Chancellor of Student Affairs and meets quarterly.

#### Title IX Athletics Administrative Advisory Committee (Title IX AAAC)

The Title IX AAAC is advisory to the Chancellor and serves as an oversight and review body, with responsibility for ensuring that the University's intercollegiate athletics program complies with Title IX.

In 2022, the committee was co-chaired by the Chief Compliance Officer and the Associate Athletics Director of Compliance. During this time period, the Title IX AAAC monitored facility improvements, reviewed team rosters, conducted trend analyses based on data submitted under the Equity in Athletics Disclosure Act, assessed services provided to athletes, and ensured effective sexual misconduct prevention education was provided to student athletes, coaches and administrators.

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[16] The University monitors training completion. Staff who do not complete the training are not eligible to receive a "fully meets expectations" rating on their annual performance review. Students who do not complete the training cannot register for classes until the training is completed. We are in the process of implementing accountability measures for faculty who do not complete the training.

[17] In December 2022, UC transitioned training platforms from EVERFI to Vector Solutions. This created a number of challenges. Because of these challenges, HDAPP has not placed any registration holds on students who did not complete training in Fall and Winter Quarters. Registration holds will resume in Spring Quarter 2023. Due to the transition from EVERFI to Vector Solutions, HDAPP is unable to provide the Undergraduate and Graduate Student mandatory training compliance rate for 2021-2022.

# III. Compliance Program Responsibilities

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## **B. Americans With Disabilities Act (ADA)**

Making University programs and facilities accessible to students, staff, faculty, and the public is a shared responsibility accomplished through the work of many individuals and departments. The Chief Compliance Officer serves as the ADA Coordinator for the UC Davis campus. In this capacity, the Compliance & Policy unit helps to coordinate accessibility needs and receives reports regarding disability access issues and concerns. Reports received during the relevant period related to academic accommodations, employment accommodations and physical accessibility. These reports were resolved by working with a variety of campus partners including Employee and Labor Relations, Disability Management Services, the Student Disability Center, Deans' offices, Facilities, and Design and Construction Management.

The Chief Compliance Officer chairs the Accessibility Funding Committee, which meets quarterly and designates funds to make important accessibility improvements on the Davis campus. The committee includes representation from Facilities, Design and Construction Management, Student Disability Center and Disability Management Services. In 2022, the Committee provided funds to increase the number of automatic door operators throughout campus, improve restroom accessibility in several buildings, and perform surveys to review additional areas where accessibility improvements could be made. The Compliance unit also maintains a one-stop website for disability resources [18].

## **C. Affirmative Action**

As a federal contractor, UC Davis is required to complete an analysis of its workforce each year to determine: (1) how the gender and racial composition of our workforce compares with the availability of women and minorities in the workforce in general and (2) at what rate we are hiring individuals with disabilities and protected veterans. Working with Academic Affairs and Human Resources, Compliance coordinates the development and publication of the University's annual Affirmative Action Plan. Compliance also convenes an Affirmative Action Workgroup in partnership with Human Resources that aims to improve the diversity of hiring pools and to enhance the University's affirmative action compliance.

## **D. Clery Act**

The Clery Coordinator works with campus partners, including the UC Davis Police and Fire Departments, the Office of Student Support and Judicial Affairs, Student Housing and Dining Services, Emergency Management and Mission Continuity, Real Estate Services, and Human Resources to develop and publish the Annual Security and Fire Safety Report (ASFSR), which includes information on campus crime statistics, fire safety policies for on-campus residential facilities, and other important safety information.

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[18] See <https://accessibility.ucdavis.edu/>

# III. Compliance Program Responsibilities

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The 2022 ASFSR was originally issued on September 29, 2022 and then reissued on November 21, 2022 to include fire statistics data that were not available when the report was originally published. The 2022 ASFSR is available by request or via the [UC Davis Clery Act website](#). In January 2023, the 2022 ASFSR was submitted for review to the Clery Center, an independent non-profit dedicated to helping college and university officials meet the standards of the Clery Act. Feedback from the review is being incorporated into revisions for the 2023 ASFSR, which will be published in late September 2023. Additional changes made to the Clery compliance program include changing internal procedures to increase compliance in crime statistics reporting, Campus Security Authority identification and training, and campus geography designation.

In December 2022, and in compliance with the Drug-Free School and Communities Act, the Clery Coordinator published the Biennial Review of the University's Alcohol and Other Drug Abuse Prevention (AOD) Program. The report covers the 2020-21 and 2021-22 school years and reviews the effectiveness of the UC Davis AOD Program and the consistency of sanction enforcement to identify and implement any necessary changes. The Biennial Review is available online at: <https://clery.ucdavis.edu/substance-abuse>.

## E. Privacy

The Campus Privacy Office advises various campus units on how to comply with privacy laws and implement privacy best practices. The Campus Privacy Office continues to provide training on underlying privacy principles as requested by units handling information to address growing awareness of the risk of handling personally identifiable information. More specifically, the Privacy Officer made progress in the following ways:

- Keeping the campus informed on updates of global privacy changes, including assisting to ensure compliance with UK GDPR data sharing agreement requirements and building awareness of China's new privacy law, PIPL.
- Strategizing to mitigate risks in the protection of student and employee reproductive health records following a Supreme Court ruling that gave States authority to regulate any aspect of abortion services. This led to reinforcement of current protections of campus medical information under existing State law and collaborating on an approach with Campus Counsel to ensure campus compliance with then pending (now passed) California Assembly Bill 2091. AB 2901 prohibits a health care provider from releasing abortion records to an out of state requestor where abortion is restricted or banned in the requestor's state.

# III. Compliance Program Responsibilities

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- Providing campus departments with assistance on data classification, guidance on the permissible uses and disclosures of institutional information, reviews of vendor privacy practices, and consultation on data sharing relationships where UC Davis is either the recipient of data or providing data to external parties (or both).
- Supporting campus research goals by working with the IRB on guiding a research team on appropriate mitigations including data sharing agreements, notice, and consent documents, for an internal and external research collaboration.
- Continuing to partner with the Information Security Office in responding to privacy and cybersecurity incidents affecting the campus.
- Collaborating with systemwide Campus Privacy Officers to cultivate shared privacy resources and align privacy efforts across the UC system.

## F. Policy

The Administrative Policy Office manages the development of campuswide policies in the Policy and Procedure Manual (PPM) and Personnel Policies for Staff Members (PPSM). While the Policy Office establishes policy standards and manages the development, review, and approval procedures for policies, the individual administrative units (the policy owners and responsible departments) are ultimately responsible for promulgating and ensuring the continued accuracy of their policies.

The Policy Office continues to lead the policy equity review process in partnership and engagement across campus with an advisory focus to help shape the outcome of new and updated policies. Through the equity review process, a group of informed practitioners consider the impact policy has on under-served and marginalized individuals/groups to enhance policy effectiveness, broaden engagement, and strengthen policy impact in support of the UC Davis Diversity and Inclusion Strategic Vision and the Principles of Community.

In December 2022, there were a total of 226 policies. Policies must be reviewed every four years to ensure accuracy, continuing applicability, and compliance. The number of policies on the update list and those that were overdue for update decreased from the previous year (22 policies (9.7%) down from 32 policies (14%) that were on the update list; 8 policies (3.5%) down from 17 policies (7.5%) that were overdue for update). Many of the policies on the update list are in development (13 out of the 22 policies (59%)) and in the process of being updated. Improvements to these metrics are mostly attributed to the Policy Office conducting proactive outreach to each administrative unit by providing update lists, training, resources, development assistance as well as consultation to promote policy development, and updates.

# III. Compliance Program Responsibilities

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The Policy Office also participates on committees charged to develop key policies. The following policies were newly developed or substantially revised in 2022:

- Section 200-50, Name Changes to Campus Administrative Units
- Section 210-16, Continuing Education
- Section 270-50, Alumni Relations Programs
- Interim Section 290-01, Public Health Policy
- Section 290-52, Heat Illness Prevention
- Section 290-56, Chemical Safety
- Section 310-10, Telecommunications Services
- Interim Section 310-70, World Wide Web Standards
- Section 330-12, Departmental Check Distribution
- Section 350-29, Nonstandard Furnishings
- Section 380-01, Affirmative Action in Employment
- Section 380-70, Independent Contractor Agreements
- Section 390-15, Emergency Alert and Notification
- Section 390-60, Laboratory Safety
- Section 390-30, Disruptive Behavior in the Workplace
- Section 400-20, Sexual Violence and Sexual Harassment

## G. Other Compliance Coordination and Oversight

- Audit, Compliance, Ethics, and Risk Committee (ACERC): The ACERC is a coordinating and decision-making body responsible to the Chancellor that is charged to promote a culture that encourages all employees to conduct University business in an ethical and compliant manner and to provide executive-level oversight of the University's audit, compliance, and risk functions. ACERC meets three times a year and is jointly coordinated by Audit and Management Advisory Services (AMAS) and the Compliance and Policy unit.
- Compliance and Risk Council (CRC): CRC is co-chaired by the Chief Compliance Officer and the Risk Manager. CRC is comprised of subject matter experts from across campus who are charged to coordinate compliance activities, identify University-wide risks and recommend risk mitigation strategies to the ACERC. The CRC is scheduled to meet monthly.
- Fraud Risk Management Program: As a result of several recent employee frauds, the University implemented a Fraud Risk Management Program. A new Fraud Risk Management Policy became effective in December 2019 and a website and training materials were released in early 2020. Compliance oversees the Fraud Risk Management Program.

# III. Compliance Program Responsibilities

- Section 117 of the Higher Education Act (HEA): Compliance coordinates the University's obligation to report funds received from foreign sources under Section 117 of the HEA. Other offices involved in this work include Financial Aid, Office of Research, DEVAR, UC Davis Health, Procurement, Real Estate Services and Global Affairs.
- NCAA Compliance Partnership: There is a dotted line reporting relationship between the Associate Athletics Director of Compliance and the Chief Compliance Officer. Additionally, Compliance supports Athletics Compliance by partnering on developing compliance-related training and conducting student athlete credential reviews.

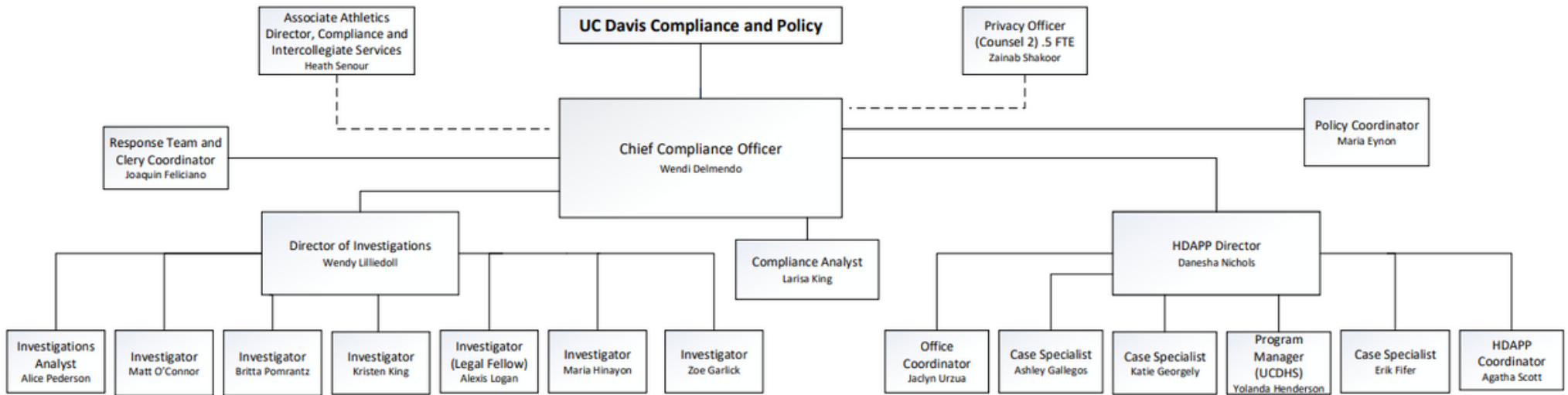


## IV. Staff List and Organizational Chart

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- Wendi Delmendo, Chief Compliance Officer  
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# IV. Staff List and Organizational Chart





# V. Guide to Appendices

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- Please keep in mind that all potentially identifying information has been purposefully withheld to protect the privacy of all parties. We have provided the general nature of the allegations, but not the specific allegations to avoid the possibility that any one case can be identified and tracked back to the parties.
- All case resolutions are based on the precise set of facts presented. Each case is reviewed individually and each resolution is specifically tailored to each case.
- Resources provided— In all cases where possible (i.e. when we have contact information for the complainant(s)), we ensure appropriate support resources are provided. When Complainants are non-affiliates, there are times when there are no applicable resources we are able to provide. In many cases, the process does not progress beyond the provision of resources due to (but not limited to) the following reasons:
  - The name or identity of the Respondent has not been provided;
  - The information provided about the allegations is insufficient to move the process forward (i.e. no specific information about the allegations is provided.)
  - The Complainant does not wish to proceed with their complaint, does not reply to outreach efforts, or only requests resources.
  - The Respondent is not affiliated with the University, and/or
  - The University has conducted a preliminary review of the allegations and determines there is insufficient evidence to support moving forward with a formal resolution process.
- There are instances when a Respondent may receive disciplinary action despite there being no formal investigation or finding of a policy violation. When this occurs, it is primarily because the University has determined that the Respondent has engaged in behavior that does not meet the University's expectations even if that behavior does not rise to the level of violating University policy prohibiting sexual violence, sexual harassment or other forms of discrimination or harassment on the basis of a legally protected characteristic.
- There are times when a Complainant requests a specific remedy that the University is able to provide in the context of an informal resolution. When that occurs, the requests are met whenever possible.
- A No Contact Directive is an order that was issued from the Office of Student Support and Judicial Affairs at the request of a student that another student have no contact with them. No Contact Directives are not the same as restraining orders: the No Contact Directive prohibits contact but does not limit a student's presence on campus.

## V. Guide to Appendices, continued

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- A documented discussion is an informal resolution strategy in response to allegations where specific, detailed information has been presented as to Respondent's behavior, and the behavior as alleged is concerning, but does not rise to a level of a policy violation. The Respondent is informed that the behavior alleged may be inconsistent with policy and advised of behavior expectations. A follow up communication (summary letter) is provided documenting the discussion that occurred. Documented discussions are not disciplinary in nature. A record of the communication is retained by HDAPP.
- Some allegations are referred to other campus departments or units when the allegations do not fall under the University's policies prohibiting sexual harassment, sexual violence or other forms of discrimination or harassment.



# Appendix 1

Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
2	ANR	Staff	Department / Campus Group	Responsible Employee	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Preliminary review-no evidence of policy violation
3	ANR	Staff	Staff	Responsible Employee	Discrimination	Race (Asian) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
4	ANR	Unknown Staff	Department / Campus Group	Complainant	Discrimination	Religion (Christian) - Adverse Action	Insufficient information to provide resources; Preliminary review-no evidence of policy violation
5	ANR	Staff	Unknown Staff	Complainant	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Complaint withdrawn
6	ANR	Former Affiliate	Staff	Responsible Employee	Discrimination	Age, Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
7	Davis	Anonymous Staff	Staff	Unknown	Discrimination	Sex (male) - Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Preliminary review-no evidence of policy violation
8	Davis	Staff	Staff	Responsible Employee	Discrimination	Age - Adverse Action	Resources provided; Insufficient information for further review
9	Davis	Staff	Multiple Staff	Complainant	Discrimination	Race (Black) - Adverse Action; Retaliation	Resources provided; Preliminary review-no evidence of policy violation
10	Davis	Former Affiliate	Faculty	Complainant	Discrimination	Disability - Unequal Treatment; Medical Condition - Unequal Treatment	Resources provided; Insufficient information for further review
11	Davis	Graduate Student	Department / Campus Group	Complainant	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Insufficient information for further review
12	Davis	Multiple Staff	Staff	Responsible Employee	Discrimination	National Origin (Mexico) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complainant's request met; Documented Discussion and Summary Letter
13	Davis	Staff	Multiple Staff	Complainant	Discrimination	Race (Indian) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
14	Davis	Unknown Former Affiliate, Unknown Undergraduate Student	Staff	Responsible Employee	Discrimination	Race (Asian) - Unequal Treatment	Insufficient information to provide resources; Preliminary review-no evidence of policy violation
15	Davis	Staff	Staff	Complainant	Discrimination	Race (unspecified) - Unequal Treatment	Resources provided; Preliminary review-no evidence of policy violation
16	Davis	Unknown Undergraduate Student	Graduate Student	Complainant	Discrimination	Race (unspecified) - Adverse Action	Insufficient information to provide resources; Insufficient information for further review
17	Davis	Undergraduate Student	Staff	Responsible Employee	Discrimination	Race (Asian) - Adverse Action	Resources provided; Complainant's request met
18	Davis	Graduate Student	Unknown Faculty	Responsible Employee	Discrimination	Sex (female) - Unequal Treatment	Resources provided; Insufficient information for further review
19	Davis	Unknown Graduate Student	Faculty	Responsible Employee	Discrimination	Unspecified	Insufficient information to provide resources; Insufficient information for further review
20	Davis	Undergraduate Student	Department / Campus Group	Complainant	Discrimination	Medical Condition - Failure to Accommodate; Unequal Treatment	Resources provided; Insufficient information for further review
21	Davis	Staff	Staff	Non-UCD Affiliate	Discrimination	Disability - Adverse Action; Failure to Accommodate	Resources provided; Complaint withdrawn
22	Davis	Non-UCD Affiliate	Department / Campus Group	Complainant	Discrimination	Disability - Failure to Accommodate; Unequal Treatment	Resources provided; Preliminary review-no evidence of policy violation
23	Davis	Staff	Staff	Responsible Employee	Discrimination	Sex (female) - Unequal Treatment	Resources provided; Insufficient information for further review

# Appendix 1

Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

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1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
24	Davis	Multiple Staff	Staff	Complainant	Discrimination	Age, National Origin (France) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
25	Davis	Former Affiliate	Unknown Staff	Responsible Employee	Discrimination	Age - Adverse Action	Resources provided; Insufficient information for further review
26	Davis	Graduate Student	Department / Campus Group	Responsible Employee	Discrimination	Pregnancy - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
27	Davis	Graduate Student	Faculty	UCD Non-Responsible Employee	Discrimination	National Origin (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
28	Davis	Former Affiliate	Unknown Staff	UCD Non-Responsible Employee	Discrimination	Religion (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
29	Davis	Non-UCD Affiliate	Faculty	Responsible Employee	Discrimination	Race (Indian) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
30	Davis	Faculty	Multiple Faculty	Complainant	Discrimination	Disability - Failure to Accommodate	Resources provided; Complaint withdrawn
31	Davis	Undergraduate Student	Faculty, Staff	Responsible Employee	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
32	Davis	Undergraduate Student	Department / Campus Group	Non-UCD Affiliate	Discrimination	Religion (Christian) - Failure to Accommodate	Resources provided; Preliminary review- no evidence of policy violation
33	Davis	Graduate Student	Department / Campus Group	Complainant	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Insufficient information for further review
34	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Discrimination	Medical Condition - Intimidating, Hostile, or Offensive Conduct; Adverse Action	Resources provided; Education for Department/Group
35	Davis	Undergraduate Student	Staff	Responsible Employee	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Preliminary review- no evidence of policy violation; Referred to Academic Affairs
36	Davis	Staff	Staff	Complainant	Discrimination	Pregnancy - Failure to Accommodate	Resources provided; Insufficient information for further review
37	Davis	Undergraduate Student	Faculty, Graduate Student; Department / Campus Group	Responsible Employee	Discrimination	Race (Mexican), National Origin (Mexico) - Intimidating, Hostile, or Offensive Conduct; Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation; Referred to Academic Affairs
38	Davis	Staff	Undergraduate Student	Responsible Employee	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
39	Davis	Faculty	Non-UCD Affiliate	Responsible Employee	Discrimination	Race (unspecified), Religion (Jewish), Disability - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
40	Davis	Staff	Department / Campus Group	Non-UCD Affiliate	Discrimination	Age - Adverse Action	Resources provided; Preliminary review- no evidence of policy violation
41	Davis	Staff	Multiple Staff	Responsible Employee	Discrimination	Sex (female) - Adverse Action	Resources provided; Preliminary review- no evidence of policy violation
42	Davis	Graduate Student	Faculty, Undergraduate Student, Unknown	Complainant	Discrimination	Race (unspecified), Sex (unspecified), Medical Condition - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
43	Davis	Undergraduate Student	Faculty	Non-UCD Affiliate	Discrimination	Unspecified	Resources provided; Insufficient information for further review

# Appendix 1

Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
44	Davis	Undergraduate Student	Undergraduate Student	Complainant	Discrimination	Religion (Jewish), Sexual Orientation (unspecified), Ethnicity (Muslim) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
45	Davis	Undergraduate Student	Faculty	Complainant	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Insufficient information for further review
46	Davis	Unknown	Staff	Unknown	Discrimination	Race (Indian and Hispanic/Latino) - Unequal Treatment	Insufficient information to provide resources; Preliminary review-no evidence of policy violation
47	Davis	Staff	Department / Campus Group	Responsible Employee	Discrimination	Religion (Christian) - Failure to Accommodate	Resources provided; Complainant's request met
48	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Discrimination	National Origin (India) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
49	Davis	Undergraduate Student	Faculty	Complainant	Discrimination	Disability -Failure to Accommodate; Intimidating, Hostile, or Offensive Conduct	Resources provided: Documented Discussion and Summary Letter
50	Davis	Non-UCD Affiliate	Department / Campus Group	Responsible Employee	Discrimination	Disability - Failure to Accommodate	Resources provided; Preliminary review-no evidence of policy violation
51	Davis	Staff	Department / Campus Group	Responsible Employee	Discrimination	Religion (Christian) - Failure to Accommodate	Resources provided; Complainant's request met
52	Davis	Faculty	Faculty	Complainant	Discrimination	Age, Race (unspecified), Sex (male) - Adverse Action	Resources provided; Complaint withdrawn
53	Davis	Staff	Staff	Complainant	Discrimination	Race (unspecified) - Unequal Treatment	Resources provided; Preliminary review-no evidence of policy violation
54	Davis	Graduate Student	Unknown	Responsible Employee	Discrimination	Race (unspecified) - Unspecified	Resources provided; Insufficient information for further review
55	Davis	Graduate Student	Department / Campus Group	Responsible Employee	Discrimination	Pregnancy - Unequal Treatment	Resources provided; Complainant's request met
56	Davis	Graduate Student	Unknown	Responsible Employee	Discrimination	Sex (female) - Unspecified	Resources provided; Insufficient information for further review
57	Davis	Former Affiliate	Department / Campus Group, Unknown Graduate Student	Responsible Employee	Discrimination	Unspecified	Resources provided; Insufficient information for further review
58	Davis	Undergraduate Student	Department / Campus Group	Complainant	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Preliminary review-no evidence of policy violation; Referred to Department for action
59	Davis	Multiple Undergraduate Students	Unknown	Complainant	Discrimination	National Origin (caste) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
60	Davis	Undergraduate Student	Staff	Complainant	Discrimination	Unspecified - Adverse Action	Resources provided; Insufficient information for further review
61	Davis	Graduate Student	Faculty, Staff	Responsible Employee	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
62	Davis	Staff	Unknown Faculty, Unknown Staff	Responsible Employee	Discrimination	Race (Latina), Sex (female) - Unequal Treatment	Resources provided; Insufficient information for further review
63	Davis	Staff	Department / Campus Group	Complainant	Discrimination	Sex (female) - Adverse Action; Unequal Treatment	Resources provided; Preliminary review-no evidence of policy violation

# Appendix 1

Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
64	Davis	Graduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Discrimination	Religion (Jewish) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Alleged conduct not covered by Policy
65	Davis	Graduate Student	Graduate Student	Responsible Employee	Discrimination	Medical Condition - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
66	Davis	Graduate Student	Faculty	Responsible Employee	Discrimination	Race (unspecified), National Origin (unspecified) - Unequal Treatment; Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
67	Davis	Former Affiliate	Staff	Responsible Employee	Discrimination	Age - Unequal Treatment	Resources provided; Insufficient information for further review
68	Davis	Graduate Student	Faculty	Responsible Employee	Discrimination	National Origin (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
69	Davis	Staff	Department / Campus Group	Responsible Employee	Discrimination	Unspecified	Resources provided; Complaint withdrawn
70	Davis	Unknown	Faculty	Responsible Employee	Discrimination	Race (Asian) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
71	Davis	Faculty	Department / Campus Group	Responsible Employee	Discrimination	Race (unspecified), National Origin (unspecified) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
72	Davis	Graduate Student	Department / Campus Group	Responsible Employee	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Complainant's request met
73	Davis	Former Affiliate	Department / Campus Group	Responsible Employee	Discrimination	Race (Black), Disability - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
74	Davis	Staff	Unknown	Responsible Employee	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
75	Davis	Undergraduate Student	Department / Campus Group	Responsible Employee	Discrimination	Unspecified	Resources provided; Insufficient information for further review
76	Davis	Graduate Student	Unknown	Responsible Employee	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation; Referred to OSSJA
77	Davis	Undergraduate Student	Department / Campus Group	Responsible Employee	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Insufficient information for further review
78	Davis	Staff	Department / Campus Group	Complainant	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Mitigating measures taken
79	Davis	Undergraduate Student	Graduate Student	Responsible Employee	Discrimination	Unspecified - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
80	Davis	Graduate Student	Unspecified Student	Responsible Employee	Discrimination	Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
81	Davis	Graduate Student	Department / Campus Group	Responsible Employee	Discrimination	Disability - Failure to Accommodate	Resources provided; Preliminary review- no evidence of policy violation
82	Davis	Undergraduate Student	Faculty	Responsible Employee	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Insufficient information for further review
83	Davis	Undergraduate Student	Faculty	Responsible Employee	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Documented Discussion and Summary Letter
84	Davis	Graduate Student	Unknown	UCD Non-Responsible Employee	Discrimination	Ethnicity (Muslim) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
85	Davis	Unspecified Student	Unknown	Complainant	Discrimination	Medical Condition - Failure to Accommodate; Adverse Action	Resources provided; Pending
86	Davis	Staff	Unknown Staff	Responsible Employee	Discrimination	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review

# Appendix 1

Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
87	Davis	Staff	Staff	Complainant	Discrimination	Disability - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
88	Davis	Faculty	Multiple Faculty	Complainant	Discrimination	Race (unspecified); National Origin (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation; Education for Department / Campus Group
89	Davis	Unknown Undergraduate Student	Faculty	Responsible Employee	Discrimination	Race (unspecified) - Unequal Treatment	Insufficient information to provide resources; Insufficient information for further review
90	Davis	Undergraduate Student	Multiple Faculty	Responsible Employee	Discrimination	Race (unspecified) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
91	Davis	Faculty	Faculty	Complainant	Discrimination	Race (Asian), Sex (male) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
92	Davis	Graduate Student	Department / Campus Group	Complainant	Discrimination	Disability - Failure to Accommodate	Resources provided; Complainant's request met
93	Davis	Graduate Student	Faculty	Responsible Employee	Discrimination	Race (unspecified), Sex (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
94	Davis	Staff	Staff	Complainant	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
95	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Discrimination	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
96	Davis	Multiple Undergraduate Students	Unknown	Responsible Employee	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Education for Department/Group
97	Davis	Staff	Faculty	Complainant	Discrimination	Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
98	Davis	Undergraduate Student	Staff	Responsible Employee	Discrimination	Religion (unspecified) - Failure to Accommodate	Resources provided; Complaint withdrawn
99	Davis	Undergraduate Student	Unknown Faculty	Complainant	Discrimination	Pregnancy - Failure to Accommodate	Resources provided; Insufficient information for further review
100	Davis	Unknown Graduate Student	Unknown	Responsible Employee	Discrimination	Unspecified	Insufficient information to provide resources; Insufficient information for further review
101	Davis	Graduate Student	Multiple Faculty	Complainant	Discrimination	Disability - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
102	Davis	Faculty	Faculty	Responsible Employee	Discrimination	Race (unspecified), Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complaint withdrawn
103	Davis	Faculty, Undergraduate Student	Faculty	Responsible Employee	Discrimination	Race (Black), Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
104	Davis	Staff	Department / Campus Group	Responsible Employee	Discrimination	Race (unspecified) - Unequal Treatment	Resources provided; Insufficient information for further review
105	Davis	Undergraduate Student	Faculty	Complainant	Discrimination	Disability - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
106	Davis	Anonymous Unspecified Student	Unspecified Student	Complainant	Discrimination	Race (Chinese) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
107	Davis	Staff	Faculty	Responsible Employee	Discrimination	Medical Condition - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
108	Davis	Graduate Student	Faculty	Respondent	Discrimination	Race (BIPOC) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complaint withdrawn

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1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
109	Davis	Undergraduate Student	Department / Campus Group	Responsible Employee	Discrimination	Race (unspecified), Religion (unspecified) - Unspecified	Resources provided; Insufficient information for further review
110	Davis	Undergraduate Student	Unknown Faculty	Responsible Employee	Discrimination	Unspecified	Resources provided; Insufficient information for further review
111	Davis	Undergraduate Student	Faculty, Staff	Complainant	Discrimination	Disability - Failure to Accommodate	Resources provided; Preliminary review- no evidence of policy violation; Complainant's request met
112	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Discrimination	Sex (female), Race (Chinese) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
113	Davis	Staff	Department / Campus Group	Responsible Employee	Discrimination	Sex (female) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
114	Davis	Undergraduate Student	Multiple Undergraduate Students	Responsible Employee	Discrimination	Disability - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation; Referred to OSSJA
115	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Discrimination	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
116	Davis	Graduate Student	Graduate Student	Responsible Employee	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
117	Davis	Undergraduate Student	Graduate Student	Responsible Employee	Discrimination	Unspecified	Resources provided; Preliminary review- no evidence of policy violation
118	Davis	Staff	Staff	Responsible Employee	Discrimination	Disability - Unequal Treatment; Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
119	Davis	Staff	Staff	Responsible Employee	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
120	Davis	Anonymous Undergraduate Student	Undergraduate Student	UCD Non-Responsible Employee	Discrimination	Disability - Unequal Treatment; Medical Condition - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
121	Davis	Unknown	Staff	Complainant	Discrimination	Race (unspecified), Sex (male) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
122	Davis	Faculty	Graduate Student	Complainant	Discrimination	Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
123	Davis	Graduate Student	Multiple Faculty	Complainant	Discrimination	Race (Black) - Adverse Action	Resources provided; Complainant's request met
124	Davis	Undergraduate Student	Faculty	Responsible Employee	Discrimination	National Origin (unspecified) - Unspecified	Resources provided; Insufficient information for further review
125	Davis	Undergraduate Student	Faculty	Responsible Employee	Discrimination	Medical Condition - Adverse Action	Resources provided; Complaint withdrawn
126	Davis	Former Affiliate, Non-UCD Affiliate	Undergraduate Student	Responsible Employee	Discrimination	Citizenship - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
127	Davis	Staff	Unknown Staff	Complainant	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
128	Davis	Undergraduate Student	Department / Campus Group	Responsible Employee	Discrimination	Religion (unspecified) - Failure to Accommodate	Resources provided; Insufficient information for further review
129	Davis	Undergraduate Student	Unknown	Responsible Employee	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
130	Davis	Graduate Student	Faculty	Responsible Employee	Discrimination	Sex (female) - Adverse Action	Resources provided; Complaint withdrawn



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1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
131	Davis	Staff	Unknown Staff	Complainant	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Preliminary review- no evidence of policy violation
132	Davis	Graduate Student	Faculty	Complainant	Discrimination	Race (unspecified) - Unequal Treatment	Resources provided; Insufficient information for further review
133	Davis	Staff	Staff	Responsible Employee	Discrimination	Disability - Intimidating, Hostile, or Offensive Conduct	Resources provided; Pending
134	Davis	Undergraduate Student	Faculty	Complainant	Discrimination	Religion (unspecified), Ethnicity (unspecified) - Unfair grading	Resources provided; Preliminary review- no evidence of policy violation
135	Davis	Staff	Unknown	Complainant	Discrimination	Marital Status - Intimidating, Hostile, or Offensive Conduct	Resources provided; Outcome pending investigation on related matter
136	Davis	Staff	Staff	Responsible Employee	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
137	Davis	Multiple Undergraduate Students	Undergraduate Student	Complainant	Discrimination	Race (Mexican), National Origin (Mexico) - Intimidating, Hostile, or Offensive Conduct; Unequal Treatment	Resources provided; Education for Respondent
138	Davis	Undergraduate Student	Faculty	Responsible Employee	Discrimination	Unspecified - Adverse Action	Resources provided; Preliminary review- no evidence of policy violation
139	Davis	Former Affiliate	Unknown Faculty	Responsible Employee	Discrimination	Race (unspecified) - Unspecified	Resources provided; Insufficient information for further review
140	Davis	Anonymous Staff	Staff	Unknown	Discrimination	Race (unspecified) - Unequal Treatment	Resources provided; Insufficient information for further review
141	Davis	Staff	Department / Campus Group	Complainant	Discrimination	Race (Black) - Unequal Treatment; Adverse Action	Resources provided; Pending
142	Davis	Staff	Unknown	Complainant	Discrimination	Race (unspecified) - Unequal Treatment	Resources provided; Complainant's request met
143	Davis	Undergraduate Student	Faculty	Responsible Employee	Discrimination	Race (Chinese) - Adverse Action	Resources provided; Complaint withdrawn
144	Davis	Graduate Student	Faculty	Responsible Employee	Discrimination	Gender Identity (trans) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
145	Davis	Unknown Multiple Undergraduate Students	Unknown	Complainant	Discrimination	Race (BIPOC) - Unspecified	Insufficient information to provide resources; Insufficient information for further review
146	Davis	Staff	Staff	Responsible Employee	Discrimination	Race (BIPOC) - Unspecified	Resources provided; Insufficient information for further review
147	Davis	Unknown Staff	Faculty, Staff	Responsible Employee	Discrimination	Race (unspecified), Sex (unspecified)- Intimidating, Hostile, or Offensive Conduct; Retaliation	Insufficient information to provide resources; Insufficient information for further review
148	Davis	Unknown	Multiple Staff, Multiple Unknown	Responsible Employee	Discrimination	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Insufficient information for further review
149	Davis	Non-UCD Affiliate	Department / Campus Group	Non-UCD Affiliate	Discrimination	Disability - Failure to Accommodate	Resources provided; Complainant's request met
150	Davis	Staff	Department / Campus Group	Complainant	Discrimination	Gender Identity (trans) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
151	Davis	Undergraduate Student	Staff	Complainant	Discrimination	Disability - Intimidating, Hostile, or Offensive Conduct; Failure to Accommodate	Resources provided; Preliminary review- no evidence of policy violation; Education provided to department
152	Davis	Undergraduate Student	Faculty	Responsible Employee	Discrimination	Disability - Failure to Accommodate	Resources provided; Documented Discussion and Summary Letter
153	Davis	Undergraduate Student	Staff	Complainant	Discrimination	Race (unspecified) - Adverse Action	Resources provided; Preliminary review- no evidence of policy violation

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1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
154	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Discrimination	Race (White) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
155	Davis	Staff	Staff	Responsible Employee	Discrimination	Religion (Jewish) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complainant's request met; Documented Discussion and Summary Letter
156	Davis	Multiple Undergraduate Students	Department / Campus Group	Responsible Employee	Discrimination	Gender Identity (trans) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation; Education provided to department
157	Davis	Undergraduate Student	Faculty, Graduate Student, Staff	Complainant	Discrimination	Race (BIPOC) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
158	Davis	Undergraduate Student	Multiple Faculty	Responsible Employee	Discrimination	Unspecified - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
159	Davis	Graduate Student	Faculty	Respondent	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
160	Davis	Former Affiliate	Department / Campus Group	Responsible Employee	Discrimination	Race (Asian) - Adverse Action	Resources provided; Preliminary review- no evidence of policy violation
161	Davis	Graduate Student	Graduate Student, Staff	Responsible Employee	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Insufficient information for further review
162	Davis	Unknown	Staff	Complainant	Discrimination	Sex (female) - Unequal Treatment	Insufficient information to provide resources; Education for Respondent
163	Davis	Graduate Student	Graduate Student	Complainant	Discrimination	Race (unspecified), Sexual Orientation (lesbian) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
164	Davis	Graduate Student	Faculty, Department / Campus Group	Complainant	Discrimination	National Origin - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
165	Davis	Undergraduate Student	Faculty, Graduate Student	Complainant	Discrimination	Disability - Failure to Accommodate	Resources provided; Preliminary review- no evidence of policy violation
166	Davis	Undergraduate Student	Unknown Faculty	Complainant	Discrimination	Disability - Unequal Treatment	Resources provided; Insufficient information for further review
167	Davis	Graduate Student	Faculty	Complainant	Discrimination	Unspecified	Resources provided; Insufficient information for further review
168	Davis	Staff	Staff	Responsible Employee	Discrimination	Race (Asian and Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
169	Davis	Staff	Faculty, Staff	Complainant	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Pending
170	Davis	Graduate Student	Department / Campus Group	Complainant	Discrimination	Unspecified	Resources provided; Insufficient information for further review
171	Davis	Graduate Student	Unknown Faculty	Complainant	Discrimination	Race (Asian), National Origin (unspecified), Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
172	Davis	Staff	Staff	Responsible Employee	Discrimination	Race (BIPOC) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
173	Davis	Staff	Staff	Responsible Employee	Discrimination	Race (White) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
174	Davis	Graduate Student	Unknown Staff	Complainant	Discrimination	Unspecified - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
175	Davis	Staff	Unknown	Responsible Employee	Discrimination	Medical Condition - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complaint withdrawn
176	Davis	Anonymous Staff	Staff	Complainant	Discrimination	Race (unspecified), Sex (unspecified) - Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Insufficient information for further review

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1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
177	Health System	Staff	Multiple Staff	Complainant	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
178	Health System	Staff	Multiple Staff	Complainant	Discrimination	Race (unspecified) - Unequal Treatment	Resources provided; Education for Department/Group
179	Health System	Staff	Staff	Unknown	Discrimination	Race (unspecified) - Adverse Action	Resources provided; Preliminary review-no evidence of policy violation
180	Health System	Staff	Patient	Complainant	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
181	Health System	Staff	Staff	Complainant	Discrimination	Disability - Adverse Action	Resources provided; Preliminary review-no evidence of policy violation
182	Health System	Unknown Staff	Unknown Staff	Responsible Employee	Discrimination	Race (Middle Eastern) - Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Insufficient information for further review
183	Health System	Staff	Former Affiliate, Unknown Staff	Complainant	Discrimination	Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
184	Health System	Unknown Non-UCD Affiliate, Unknown Patient	Unknown Staff	Responsible Employee	Discrimination	Race (unspecified) - Unspecified	Insufficient information to provide resources; Preliminary review-no evidence of policy violation
185	Health System	Unknown Non-UCD Affiliate	Unknown Staff	Non-UCD Affiliate	Discrimination	Disability - Unequal Treatment	Insufficient information to provide resources; Education provided to Department
186	Health System	Staff	Unknown Staff	UCD Non-Responsible Employee	Discrimination	Race (unspecified) - Unspecified	Resources provided; Insufficient information for further review
187	Health System	Staff	Unknown	Responsible Employee	Discrimination	Race (unspecified), Sex (female) - Adverse Action	Resources provided; Insufficient information for further review
188	Health System	Unknown Staff	Staff	Complainant	Discrimination	Medical Condition - Failure to Accommodate; Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Insufficient information for further review
189	Health System	Staff	Department / Campus Group	UCD Non-Responsible Employee	Discrimination	Disability - Adverse Action	Resources provided; Preliminary review-no evidence of policy violation
190	Health System	Staff	Unknown Staff	Responsible Employee	Discrimination	Race (Black) - Unspecified	Resources provided; Preliminary review-no evidence of policy violation
191	Health System	Staff	Multiple Staff	Complainant	Discrimination	Pregnancy - Failure to Accommodate	Resources provided; Documented Discussion and Summary Letter
192	Health System	Unknown	Staff	Unknown	Discrimination	Race (unspecified) - Unequal Treatment	Insufficient information to provide resources; Preliminary review-no evidence of policy violation
193	Health System	Staff	Staff	Responsible Employee	Discrimination	Sexual Orientation (gay) - Unequal Treatment	Resources provided; Insufficient information for further review
194	Health System	Staff	Unknown	Complainant	Discrimination	Unspecified	Resources provided; Insufficient information for further review
195	Health System	Staff	Multiple Staff	Complainant	Discrimination	Unspecified - Adverse Action	Resources provided; Alleged conduct not covered by Policy
196	Health System	Staff	Staff	UCD Non-Responsible Employee	Discrimination	Race (unspecified) - Adverse Action	Resources provided; Preliminary review-no evidence of policy violation; Referred to Employee and Labor Relations
197	Health System	Staff	Staff	Complainant	Discrimination	Race (unspecified) - Unequal Treatment	Resources provided; Insufficient information for further review
198	Health System	Multiple Staff	Patient	Complainant	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review

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1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
199	Health System	Staff	Staff	Complainant	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
200	Health System	Former Affiliate	Department / Campus Group	Complainant	Discrimination	Race (BIPOC) - Unequal Treatment	Resources provided; Complainant's request met
201	Health System	Staff	Faculty	Unknown	Discrimination	Race (unspecified), Sexual Orientation (gay) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
202	Health System	Staff	Department / Campus Group	Responsible Employee	Discrimination	Medical Condition - Unspecified	Resources provided; Complaint withdrawn
203	Health System	Anonymous Patient	Staff	Unknown	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
204	Health System	Unknown	Staff	Responsible Employee	Discrimination	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent dismissed for other misconduct
205	Health System	Staff	Staff	Complainant	Discrimination	Race (Korean), National Origin (Korea) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
206	Health System	Staff	Unknown Staff	Complainant	Discrimination	Race (Black) - Adverse Action	Resources provided; Preliminary review-no evidence of policy violation
207	Health System	Former Affiliate, Staff	Unknown Staff	Complainant	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complaint withdrawn
208	Health System	Unknown Staff	Unknown Staff	Complainant	Discrimination	Pregnancy - Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Insufficient information for further review
209	Health System	Staff	Staff	Responsible Employee	Discrimination	Unspecified	Resources provided; Alleged conduct not covered by Policy
210	Health System	Former Affiliate, Staff	Unknown Staff	Responsible Employee	Discrimination	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review-no evidence of policy violation
211	Health System	Staff	Staff	Responsible Employee	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
212	Health System	Former Affiliate, Staff	Unknown Staff	Responsible Employee	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Referred to Employee and Labor Relations
213	Health System	Staff	Patient	Complainant	Discrimination	Race (Chinese), Religion (Jewish) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
214	Health System	Staff	Patient	Complainant	Discrimination	Unspecified	Resources provided; Respondent not or no longer affiliated with UC Davis
215	Health System	Staff	Staff	Complainant	Discrimination	Medical Condition - Intimidating, Hostile, or Offensive Conduct; Race (Mexican) - Unequal Treatment	Resources provided; Education for Respondent
216	Health System	Staff	Staff	UCD Non-Responsible Employee	Discrimination	Race (unspecified) - Unequal Treatment	Resources provided; Preliminary review-no evidence of policy violation; Referred to Employee and Labor Relations
217	Health System	Former Affiliate	Multiple Staff	Responsible Employee	Discrimination	Age, National Origin (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review-no evidence of policy violation; Referred to Employee and Labor Relations
218	Health System	Staff	Staff	Complainant	Discrimination	Medical Condition - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
219	Health System	Staff	Staff	Complainant	Discrimination	Sex (female), Age - Adverse Action	Resources provided; Insufficient information for further review

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1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
220	Health System	Staff	Patient	Complainant	Discrimination	Race (BIPOC) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
221	Health System	Staff	Patient	Complainant	Discrimination	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
222	Health System	Staff	Multiple Staff	Complainant	Discrimination	Disability - Failure to Accommodate	Resources provided; Preliminary review- no evidence of policy violation; Referred to Employee and Labor Relations
223	Health System	Staff	Department / Campus Group, Multiple Staff	Responsible Employee	Discrimination	Race (BIPOC) - Adverse Action	Resources provided; Preliminary review- no evidence of policy violation
224	Health System	Patient	Faculty	Responsible Employee	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Education for Department/Group
225	Health System	Staff	Staff	Responsible Employee	Discrimination	Disability - Intimidating, Hostile, or Offensive Conduct	Resources provided; Letter of Warning issued
226	Health System	Unknown Patient	Unknown Staff	Responsible Employee	Discrimination	Race (unspecified), Gender Identity (trans), Gender Expression - Unequal Treatment	Insufficient information to provide resources; Education for Department / Campus Group
227	Health System	Staff	Staff	Complainant	Discrimination	Medical Condition - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
228	Health System	Staff	Patient	Complainant	Discrimination	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
229	Health System	Staff	Patient	Complainant	Discrimination	Race (Asian) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
230	Health System	Patient	Department / Campus Group	Responsible Employee	Discrimination	Disability - Failure to Accommodate	Resources provided; Preliminary review- no evidence of policy violation
231	Health System	Anonymous Staff	Staff	Unknown	Discrimination	Age, Race (unspecified), Medical Condition - Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Fact-finding on related matter completed- Allegations not substantiated
232	Health System	Staff	Staff	Complainant	Discrimination	Unspecified - Adverse Action	Resources provided; Preliminary review- no evidence of policy violation
233	Health System	Staff	Staff	Complainant	Discrimination	Age, Sex (female) - Adverse Action	Resources provided; Preliminary review- no evidence of policy violation
234	Health System	Staff	Staff	Complainant	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Education for Department/Group
235	Health System	Staff	Staff	Complainant	Discrimination	Age - Adverse Action	Resources provided; Preliminary review- no evidence of policy violation
236	Health System	Patient	Faculty	Complainant	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Education for Department/Group
237	Health System	Patient	Staff	Responsible Employee	Discrimination	Color - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
238	Health System	Graduate Student	Multiple Faculty	Responsible Employee	Discrimination	Religion (Christian) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
239	Health System	Staff	Staff	Complainant	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Letter of Suspension issued for other misconduct
240	Health System	Staff	Staff	Responsible Employee	Discrimination	Religion (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
241	Health System	Staff	Staff	Complainant	Discrimination	Pregnancy - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
242	Health System	Staff	Patient	Responsible Employee	Discrimination	Ethnicity (Muslim) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Mitigating measures taken

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1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
243	Health System	Staff	Staff	Responsible Employee	Discrimination	Race (Chinese) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
244	Health System	Staff	Staff	Responsible Employee	Discrimination	Disability - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
245	Health System	Staff	Staff	Responsible Employee	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Insufficient information for further review
246	Health System	Anonymous Staff	Staff	Unknown	Discrimination	Disability, National Origin (unknown) - Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Respondent issued a Letter of Warning for other misconduct
247	Health System	Staff	Staff	Responsible Employee	Discrimination	Age - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review-no evidence of policy violation
248	Health System	Staff	Staff	Complainant	Discrimination	Sexual Orientation (gay) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
249	Health System	Staff	Staff	Responsible Employee	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Education for Respondent
250	Health System	Staff, Patient	Staff	Responsible Employee	Discrimination	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review-no evidence of policy violation
251	Health System	Unknown Non-UCD Affiliate	Multiple Unspecified Staff	Responsible Employee	Discrimination	Race (Mexican) - Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Education for Department / Campus Group
252	Health System	Patient	Faculty	Responsible Employee	Discrimination	Race (unspecified) - Unequal Treatment	Resources provided; Insufficient information for further review
253	Health System	Staff	Staff	Responsible Employee	Discrimination	Religion (Jewish) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
254	Health System	Staff	Staff	Complainant	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Fact-Finding on related matter completed-no evidence of policy violation
255	Health System	Patient	Unknown Staff	Complainant	Discrimination	Race (Black) - Unequal Treatment; Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review-no evidence of policy violation
256	Health System	Staff	Multiple Staff	Complainant	Discrimination	Race (Black), Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review-no evidence of policy violation; Referred to Employee and Labor Relations
257	Health System	Faculty, Staff	Faculty, Staff	Complainant	Discrimination	Medical Condition - Failure to Accommodate; Intimidating, Hostile, or Offensive Conduct; Retaliation	Resources provided; Preliminary review-no evidence of policy violation
258	Health System	Staff	Staff	UCD Non-Responsible Employee	Discrimination	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
259	Health System	Staff	Staff	Complainant	Discrimination	Race (Asian) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
260	Health System	Staff	Staff	Complainant	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
261	Health System	Staff	Staff	Complainant	Discrimination	Race (White), Age - Unspecified	Resources provided; Insufficient information for further review
262	Health System	Staff	Multiple Staff	Responsible Employee	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Preliminary review-no evidence of policy violation
263	Health System	Faculty	Unknown	Responsible Employee	Discrimination	Race (Asian) - Unequal Treatment	Resources provided; Insufficient information for further review

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
264	Health System	Staff	Staff	Complainant	Discrimination	Religion (unspecified) - Failure to Accommodate; Medical Condition - Failure to Accommodate; Race (unspecified) - Adverse Action	Resources provided; Preliminary review- no evidence of policy violation
265	Health System	Staff	Patient	Responsible Employee	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
266	Health System	Multiple Staff	Patient	Responsible Employee	Discrimination	Race (black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Education for Department/Group
267	Health System	Former Affiliate	Staff	Complainant	Discrimination	Race (Pakistani) Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
268	Health System	Patient	Multiple Staff	Complainant	Discrimination	Disability - Failure to Accommodate	Resources provided; Preliminary review- no evidence of policy violation
269	Health System	Staff	Staff	Complainant	Discrimination	Medical Condition - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation; Referred to Employee and Labor Relations
270	Health System	Multiple Staff	Staff	Complainant	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
271	Health System	Patient	Staff	Respondent	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Education for Department/Group
272	Health System	Patient	Department / Campus Group	Responsible Employee	Discrimination	Disability - Failure to Accommodate	Resources provided; Preliminary review- no evidence of policy violation
273	Health System	Staff	Staff	Responsible Employee	Discrimination	Race (Asian) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
274	Health System	Patient	Unknown	Complainant	Discrimination	Disability - Failure to Accommodate	Resources provided; Alleged conduct not covered by Policy
275	Health System	Staff	Patient	Responsible Employee	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Education for Department/Group
276	Health System	Anonymous Staff	Staff	Unknown	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
277	Health System	Staff	Non-UCD Affiliate	Responsible Employee	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Mitigating measures taken
278	Health System	Staff	Non-UCD Affiliate	Responsible Employee	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complainant's request met
279	Health System	Patient	Faculty	Complainant	Discrimination	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
280	Health System	Staff	Multiple Staff	Complainant	Discrimination	National Origin (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
281	Health System	Staff	Staff	Complainant	Discrimination	Age, Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
282	Health System	Patient	Multiple Staff	Complainant	Discrimination	Medical Condition - Unequal Treatment, Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
283	Health System	Staff	Staff	Complainant	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
284	Health System	Staff	Staff	Complainant	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
285	Health System	Staff	Unknown Staff	Responsible Employee	Discrimination	Disability, National Origin (unknown), Race (unknown) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation

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1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
286	Health System	Staff	Staff	Complainant	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complainant's request met
287	Health System	Multiple Staff	Patient	Responsible Employee	Discrimination	Race (unspecified), National Origin (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complainant's request met
288	Health System	Graduate Student	Multiple Faculty	Responsible Employee	Discrimination	National Origin (unspecified) - Adverse Action	Resources provided; Complainant's request met
289	Health System	Faculty	Unknown	Responsible Employee	Discrimination	Race (Middle Eastern) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
290	Health System	Staff	Staff	Responsible Employee	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent issued Letter of Suspension for other misconduct
291	Health System	Patient	Staff	Complainant	Discrimination	Ancestry - Unspecified	Resources provided; Complainant's request met
292	Health System	Patient	Department / Campus Group	Responsible Employee	Discrimination	Race (unspecified) - Unequal Treatment	Resources provided; Insufficient information for further review
293	Health System	Staff	Staff	Responsible Employee	Discrimination	Race (unspecified) - Unspecified	Resources provided; Insufficient information for further review
294	Health System	Multiple Staff	Staff	Responsible Employee	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complainant's request met; Documented Discussion and Summary Letter
295	Health System	Staff	Multiple Staff	Complainant	Discrimination	Unspecified	Resources provided; Insufficient information for further review
296	Health System	Faculty	Unknown	Responsible Employee	Discrimination	Retaliation	Resources provided; Insufficient information for further review
297	Health System	Staff	Staff	UCD Non-Responsible Employee	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
298	Health System	Patient	Staff	Responsible Employee	Discrimination	Religion (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
299	Health System	Staff	Unknown Patient	Responsible Employee	Discrimination	Race (Hispanic) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
300	Health System	Staff	Unknown Staff	Complainant	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review-no evidence of policy violation; Referred to Employee and Labor Relations
301	Health System	Staff	Staff	Complainant	Discrimination	Sexual Orientation (gay) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent dismissed for other misconduct
302	Health System	Patient	Staff	Complainant	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Education for Respondent
303	Health System	Staff	Staff	Complainant	Discrimination	Unspecified - Unequal Treatment	Resources provided; Preliminary review-no evidence of policy violation
304	Health System	Staff	Patient	Responsible Employee	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Mitigating measures taken
305	Health System	Unknown Non-UCD Affiliate	Department / Campus Group	Responsible Employee	Discrimination	Medical Condition - Failure to Accommodate	Insufficient information to provide resources; Insufficient information for further review
306	Health System	Staff	Staff	Complainant	Discrimination	Medical Condition - Unequal Treatment, Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review-no evidence of policy violation
307	Health System	Staff	Staff	Responsible Employee	Discrimination	Color - Unequal Treatment	Resources provided; Preliminary review-no evidence of policy violation



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1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
308	Health System	Staff	Staff	Complainant	Discrimination	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
309	Health System	Multiple Staff	Staff	Respondent	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
310	Health System	Staff	Unknown Staff	Responsible Employee	Discrimination	Disability - Adverse Action	Resources provided; Preliminary review- no evidence of policy violation
311	Health System	Patient	Department / Campus Group	Responsible Employee	Discrimination	Age, Pregnancy - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
312	Health System	Patient	Faculty	Responsible Employee	Discrimination	Color - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
313	Health System	Staff	Patient	Responsible Employee	Discrimination	Race (Black), Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Mitigating measures taken
314	Health System	Staff	Staff	Complainant	Discrimination	Race (Asian), Religion (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Letter of Suspension issued for other misconduct
315	Health System	Patient	Staff	Complainant	Discrimination	Disability - Failure to Accommodate	Resources provided; Complainant's request met
316	Health System	Staff	Staff	Complainant	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Insufficient information for further review
317	Health System	Faculty	Multiple Staff	Responsible Employee	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Education for Department/Group
318	Health System	Staff	Unknown Patient	Responsible Employee	Discrimination	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Mitigating measures taken
319	Health System	Staff	Staff	Complainant	Discrimination	Disability - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
320	Health System	Patient	Staff	Complainant	Discrimination	Disability - Failure to Accommodate	Resources provided; Preliminary review- no evidence of policy violation
321	Health System	Multiple Staff	Multiple Staff	Complainant	Discrimination	Age - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
322	Health System	Staff	Multiple Staff	Complainant	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Insufficient information for further review
323	Health System	Staff	Unknown	Complainant	Discrimination	Medical Condition - Unequal Treatment	Resources provided; Pending
324	Health System	Staff	Department / Campus Group	Complainant	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Alleged conduct not covered by Policy
325	Health System	Staff	Staff	Complainant	Discrimination	Race (Black) - Adverse Action	Resources provided; Preliminary review- no evidence of policy violation
326	Health System	Patient	Staff	Complainant	Discrimination	Race (black) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
327	Health System	Patient	Staff	Complainant	Discrimination	Disability - Failure to Accommodate	Resources provided; Documented Discussion and Summary Letter
328	Health System	Staff	Staff	Complainant	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
329	Health System	Staff	Unknown Staff	Responsible Employee	Discrimination	Race (unspecified), Sex (female) - Unequal Treatment	Resources provided; Insufficient information for further review
330	Health System	Staff	Patient	Complainant	Discrimination	Race (Black), Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Mitigating measures taken
331	Health System	Staff	Patient	Complainant	Discrimination	Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Mitigating measures taken

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1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
332	Health System	Staff	Patient	Complainant	Discrimination	Sexual Orientation (gay) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Mitigating measures taken
333	Health System	Staff	Staff	Responsible Employee	Discrimination	Disability - Intimidating, Hostile, or Offensive Conduct	Resources provided; Verbal Counseling; Respondent not or no longer affiliated with UCD
334	Health System	Staff	Staff	Complainant	Discrimination	Unspecified	Resources provided; Alleged conduct not covered by Policy
335	Health System	Anonymous Staff	Staff	Complainant	Discrimination	Religion - Unequal Treatment	Insufficient information to provide resources; Preliminary review-no evidence of policy violation
336	Health System	Patient	Unknown Staff	Responsible Employee	Discrimination	Disability - Failure to Accommodate	Resources provided; Preliminary review-no evidence of policy violation
337	Health System	Patient	Unknown Staff	Responsible Employee	Discrimination	Race (Hispanic) - Unequal Treatment	Resources provided; Preliminary review-no evidence of policy violation
338	Health System	Staff	Staff	Responsible Employee	Discrimination	Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
339	Health System	Staff	Staff	Complainant	Discrimination	Race (Filipino) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
340	Health System	Staff	Staff	Complainant	Discrimination	Race (Filipino) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complainant's request met; Documented Discussion and Summary Letter
341	Health System	Staff	Staff	Complainant	Discrimination	Age - Adverse Action	Resources provided; Preliminary review-no evidence of policy violation
342	Health System	Staff	Staff	Complainant	Discrimination	Medical Condition - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
343	Health System	Staff	Unknown Staff	Complainant	Discrimination	Race (Asian) - Unequal Treatment	Resources provided; Insufficient information for further review
344	Health System	Staff	Multiple Staff	Complainant	Discrimination	Disability - Failure to Accommodate	Resources provided; Complainant's request met
345	Health System	Unknown Staff	Staff	Responsible Employee	Discrimination	Disability - Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Insufficient information for further review
346	Health System	Staff	Staff	Complainant	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review-no evidence of policy violation; Referred to Employee and Labor Relations
347	Health System	Patient	Staff	Complainant	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Preliminary review-no evidence of policy violation
348	Health System	Patient	Staff	Complainant	Discrimination	Race (unspecified) - Unequal Treatment	Resources provided; Preliminary review-no evidence of policy violation
349	Health System	Staff	Staff	Complainant	Discrimination	National Origin (Pakistan) - Adverse Action; Retaliation	Resources provided; Insufficient information for further review
350	Health System	Patient	Staff	Complainant	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
351	Health System	Former Affiliate	Unknown Staff	Responsible Employee	Discrimination	Race (unspecified) - Unspecified	Resources provided; Insufficient information for further review
352	Health System	Staff	Multiple Staff	Responsible Employee	Discrimination	Race (Latina), Sex (female) - Adverse Action	Resources provided; Pending

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	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
353	Health System	Staff	Faculty	Complainant	Discrimination	Sexual Orientation (lesbian); Sex (female) - Adverse Action; Unequal Treatment; Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
354	Health System	Faculty	Faculty	Complainant	Discrimination	Race (Asian) - Unequal Treatment; Adverse Action; Retaliation; Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
355	Health System	Staff	Staff	Complainant	Discrimination	Race (Black), Sex (male) - Unequal Treatment; Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
356	Health System	Patient	Staff	Complainant	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
357	Health System	Staff	Staff	Responsible Employee	Discrimination	Age, Retaliation - Intimidating, Hostile, or Offensive Conduct	Resources provided; Pending
358	Health System	Multiple Patients	Staff	Complainant	Discrimination	Color - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
359	Health System	Staff	Unknown Staff	Complainant	Discrimination	Unspecified	Resources provided; Preliminary review- no evidence of policy violation; Referred to Employee and Labor Relations
360	Health System	Staff	Staff	Responsible Employee	Discrimination	Age - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
361	Health System	Former Affiliate	Staff	Responsible Employee	Discrimination	Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
362	Health System	Multiple Patients	Faculty	Complainant	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
363	Health System	Unknown Staff	Staff	Responsible Employee	Discrimination	Race (Mexican) - Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Documented Discussion and Summary Letter
364	Health System	Staff	Patient	Complainant	Discrimination	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
365	Health System	Patient	Staff	Responsible Employee	Discrimination	Age - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation; Feedback passed along to department
366	Health System	Unknown Non-UCD Affiliate	Unknown Staff	Responsible Employee	Discrimination	Race (Asian) - Unequal Treatment	Insufficient information to provide resources; Preliminary review- no evidence of policy violation
367	Health System	Staff	Staff	Complainant	Discrimination	Disability - Failure to Accommodate	Resources provided; Alleged conduct not covered by Policy; Referred to Employee and Labor Relations
368	Health System	Staff	Multiple Staff	Complainant	Discrimination	Race (Black) - Unequal Treatment; Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
369	Health System	Faculty, Patient	Unknown Staff	Responsible Employee	Discrimination	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
370	Health System	Patient	Unknown Staff	Responsible Employee	Discrimination	Race (Cuban) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
371	Health System	Patient, Staff	Multiple Faculty	Responsible Employee	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation

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1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
372	Health System	Non-UCD Affiliate	Unknown Staff	Responsible Employee	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
373	Health System	Staff	Multiple Staff	Responsible Employee	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
374	Health System	Staff	Staff	Unknown	Discrimination	National Origin (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
375	Health System	Staff	Staff	Responsible Employee	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
376	Health System	Staff	Staff	Responsible Employee	Discrimination	Race (Asian) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Pending
377	Health System	Multiple Patients	Department / Campus Group	Complainant	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
378	Health System	Staff	Staff	Complainant	Discrimination	Age - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
379	Health System	Staff	Staff	Complainant	Discrimination	Unspecified	Resources provided; Referred to Employee and Labor Relations
380	Health System	Patient	Unknown Staff	Complainant	Discrimination	Race (Asian) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Education for Department/Group
381	Health System	Unknown Staff	Staff	Responsible Employee	Discrimination	Race (unspecified); Unspecified	Insufficient information to provide resources; Insufficient information for further review
382	Health System	Staff	Staff	Responsible Employee	Discrimination	Race (Asian) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
383	Health System	Staff	Staff	Complainant	Discrimination	Race (Black), Sex (male) - Unequal Treatment; Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
384	Health System	Staff	Staff	Complainant	Discrimination	Medical Condition - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
385	Health System	Staff	Staff	Complainant	Discrimination	Race (Black) - Adverse Action	Resources provided; Preliminary review- no evidence of policy violation
386	Health System	Graduate Student	Faculty	Responsible Employee	Discrimination	Religion (Christian) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
387	Health System	Staff	Unknown Staff	Complainant	Discrimination	Race (Black) - Adverse Action	Resources provided; Preliminary review- no evidence of policy violation
388	Health System	Staff	Faculty	Responsible Employee	Discrimination	Sex (female), Age - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
389	Health System	Staff	Unknown	Responsible Employee	Discrimination	Medical Condition - Failure to Accommodate	Resources provided; Preliminary review- no evidence of policy violation
390	Health System	Staff	Staff	Responsible Employee	Discrimination	Race (Fijian) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complaint withdrawn
391	Health System	Staff	Staff	Non-UCD Affiliate	Discrimination	Age, Disability - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review; Complaint withdrawn
392	Health System	Staff	Staff	Responsible Employee	Discrimination	Race (black), Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
393	Health System	Staff	Department / Campus Group	Responsible Employee	Discrimination	Color - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review

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1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
394	Health System	Staff	Faculty	Responsible Employee	Discrimination	Age, Sex (female) - Intimidating, Hostile, or Offensive Conduct; Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation; Complaint withdrawn
395	Health System	Staff	Staff	Responsible Employee	Discrimination	Color - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
396	Health System	Staff	Staff	Complainant	Discrimination	Retaliation	Resources provided; Preliminary review- no evidence of policy violation
397	Health System	Staff	Multiple Staff	Complainant	Discrimination	Age, Race (unspecified), Retaliation - Unequal Treatment; Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
398	Health System	Unknown Staff	Staff	Responsible Employee	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Insufficient information for further review
399	Health System	Staff	Staff	Responsible Employee	Discrimination	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Referred to Employee and Labor Relations
400	Health System	Patient	Faculty	Responsible Employee	Discrimination	Race (Black) - Unequal Treatment	Resources provided; Preliminary review- no evidence of policy violation
401	Health System	Staff	Staff	Complainant	Discrimination	Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
402	Davis	Unknown Graduate Student	Unknown Faculty	Responsible Employee	Discrimination, Hate/Bias	Unspecified - Unequal Treatment	Insufficient information to provide resources; Insufficient information for further review
403	Davis	Unknown	Unknown	Complainant	Discrimination, Hate/Bias	Unspecified	Insufficient information to provide resources; Insufficient information for further review
404	Davis	Undergraduate Student	Unknown	Responsible Employee	Discrimination, Hate/Bias	Sexual Orientation - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complaint withdrawn
405	Davis	Staff, Undergraduate Student	Staff	Responsible Employee	Discrimination, Other	Unspecified; Retaliation	Resources provided; Preliminary review- no evidence of policy violation
406	Davis	Staff	Staff	Responsible Employee	Discrimination, Other	National Origin (Mexican) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
407	Davis	Staff	Staff	Responsible Employee	Discrimination, Other	Disability - Intimidating, Hostile, or Offensive Conduct; Unspecified	Resources provided; Education for Department/Group
408	Davis	Former Affiliate	Department / Campus Group	Responsible Employee	Discrimination, Sexual Harassment	Race (unspecified); Sex (female) - Unequal treatment	Resources provided; Preliminary review- no evidence of policy violation
409	Davis	Multiple Undergraduate Students	Graduate Student	Responsible Employee	Discrimination, Sexual Harassment	Race (Black) - Intimidating, Hostile, or Offensive Conduct; Hostile Environment comments	Resources provided; Insufficient information for further review
410	Davis	Graduate Student	Faculty	Responsible Employee	Discrimination, Sexual Harassment	Race (unspecified); Sex (female) - Unspecified	Resources provided; Insufficient information for further review
411	Davis	Graduate Student	Faculty	Responsible Employee	Discrimination, Sexual Harassment	Race (White, BIPOC); Sex (female); Citizenship (unspecified); National Origin (unspecified); Sexual Orientation (unspecified); Medical Condition (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complainant's request met; Documented Discussion and Summary Letter
412	Davis	Multiple Undergraduate Students	Staff	Responsible Employee	Discrimination, Sexual Harassment	Unspecified; Unspecified	Resources provided; Preliminary review- no evidence of policy violation

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	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
413	Davis	Multiple Undergraduate Students	Staff	Responsible Employee	Discrimination, Sexual Harassment	Sex (female); Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
414	Davis	Unknown Graduate Student	Graduate Student	Complainant	Discrimination, Sexual Harassment	Sex (female) - Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Insufficient information for further review
415	Davis	Undergraduate Student	Department / Campus Group	Responsible Employee	Discrimination, Sexual Harassment	Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
416	Davis	Faculty	Unknown Faculty	Responsible Employee	Discrimination, Sexual Harassment	Unspecified; Unspecified	Resources provided; Insufficient information for further review
417	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Discrimination, Sexual Harassment	Gender Identity (nonbinary) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
418	Davis	Staff	Unknown Faculty, Unknown Staff	Complainant	Discrimination, Sexual Harassment	Sex (male); Race (White) - Unequal treatment	Resources provided; Insufficient information for further review
419	Davis	Undergraduate Student	Unknown	Responsible Employee	Discrimination, Sexual Harassment	Gender; Gender Expression; Gender Identity; Sex; Sexual Orientation - Intimidating, Hostile, or Offensive Conduct	Resources provided; Education for Department/Group
420	Davis	Graduate Student	Undergraduate Student	Unknown	Discrimination, Sexual Harassment	Sex (female); Gender Identity (nonbinary) - comments on social media	Resources provided; Alleged conduct not covered by Policy
421	Davis	Undergraduate Student	Graduate Student, Staff	Responsible Employee	Discrimination, Sexual Harassment	Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
422	Davis	Graduate Student	Graduate Student	Complainant	Discrimination, Sexual Harassment	Sex (female); Race (Black) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
423	Davis	Unknown	Unknown	Responsible Employee	Discrimination, Sexual Harassment	Race (Black); Sexual Orientation (gay); Gender Identity (non-binary); Ethnicity (Hispanic/Latino) - Intimidating, Hostile, or Offensive Conduct; Hostile Environment - comments	Insufficient information to provide resources; Insufficient information for further review
424	Davis	Multiple Staff	Staff	Responsible Employee	Discrimination, Sexual Harassment	Age - Intimidating, Hostile, or Offensive Conduct; Hostile Environment - unspecified	Resources provided; Documented Discussion and Summary Letter
425	Davis	Multiple Graduate Students	Graduate Student	Responsible Employee	Discrimination, Sexual Harassment	Race (unspecified); Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
426	Davis	Undergraduate Student	Undergraduate Student	Complainant	Discrimination, Sexual Harassment	Disability - Intimidating, Hostile, or Offensive Conduct; Hostile Environment comments	Resources provided; Education for Department/Group
427	Davis	Undergraduate Student	Staff	Complainant	Discrimination, Sexual Harassment	Race (Black) - Intimidating, Hostile, or Offensive Conduct; Hostile Environment unspecified	Resources provided; Documented Discussion and Summary Letter
428	Davis	Non-UCD Affiliate	Multiple Staff	Complainant	Discrimination, Sexual Harassment	Race (Asian); Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
429	Davis	Unknown	Undergraduate Student	Complainant	Discrimination, Sexual Harassment	Race (Black); Sexual Orientation (gay) - Intimidating, Hostile, or Offensive Conduct; Hostile Environment - comments	Resources provided; Complaint withdrawn

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
430	Davis	Undergraduate Student	Department / Campus Group	Responsible Employee	Discrimination, Sexual Harassment	Disability - failure to accommodate; Unspecified	Resources provided; Preliminary review- no evidence of policy violation
431	Health System	Staff	Faculty	Responsible Employee	Discrimination, Sexual Harassment	Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complainant's request met; Documented Discussion and Summary Letter
432	Health System	Unknown Patient	Staff	Responsible Employee	Discrimination, Sexual Harassment	Sexual Orientation (gay) - Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Insufficient information for further review
433	Health System	Staff	Staff	Unknown	Discrimination, Sexual Harassment	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct; Hostile Environment - comments	Resources provided; Complainant's request met; Documented Discussion and Summary Letter
434	Health System	Staff	Patient	Complainant	Discrimination, Sexual Harassment	Sex (female); Sexual Orientation (gay) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
435	Health System	Anonymous Staff	Staff	Unknown	Discrimination, Sexual Harassment	Age, Race (Unspecified) - Intimidating, Hostile, or Offensive Conduct; Hostile Environment - comments	Resources provided; Education for Respondent
436	Health System	Staff	Multiple Staff	Complainant	Discrimination, Sexual Harassment	Race (Black) - Intimidating, Hostile, or Offensive Conduct; Hostile Environment images	Resources provided; Documented Discussion and Summary Letter
437	Health System	Non-UCD Affiliate	Unknown Staff	Responsible Employee	Discrimination, Sexual Harassment	Sexual Orientation (gay) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
438	Health System	Staff	Unknown Staff	Responsible Employee	Discrimination, Sexual Harassment	Unspecified	Resources provided; Alleged conduct not covered by Policy
439	Health System	Staff	Staff	Unknown	Discrimination, Sexual Harassment	Other	Resources provided; Referred to Employee and Labor Relations
440	Health System	Multiple Faculty	Faculty	Responsible Employee	Discrimination, Sexual Harassment	Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
441	Health System	Multiple Staff	Patient	Complainant	Discrimination, Sexual Harassment	Sex (female) - Intimidating, Hostile, or Offensive Conduct; Hostile Environment comments	Resources provided; Mitigating measures taken
442	Health System	Staff	Multiple Staff	Responsible Employee	Discrimination, Sexual Harassment	Sexual Orientation (unspecified) - Intimidating, Hostile, or Offensive Conduct; Hostile Environment - comments and gestures	Resources provided; Insufficient information for further review
443	Health System	Staff	Patient	Complainant	Discrimination, Sexual Harassment	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct; Hostile Environment - comments and touching	Resources provided; Insufficient information for further review
444	Health System	Staff	Patient	Complainant	Discrimination, Sexual Harassment	Race (Mexican and Black); Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
445	Health System	Staff	Staff	Responsible Employee	Discrimination, Sexual Harassment	Unspecified; Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
446	Health System	Staff	Patient	Complainant	Discrimination, Sexual Harassment	Race (unspecified); Sex (female) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Respondent not or no longer affiliated with UC Davis
447	Davis	Undergraduate Student	Undergraduate Student	Complainant	Discrimination, Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Race (Black); Sex (male); Gender Identity (trans) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
448	Davis	Undergraduate Student	Undergraduate Student	Complainant	Discrimination, Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Sexual Assault (contact); National Origin (unspecified) - Intimidating, Hostile, or Offensive Conduct; Gender Identity (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complainant's request met
449	Davis	Staff	Department / Campus Group	Responsible Employee	Discrimination, Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Sex (female) - Adverse Action; Retaliation	Resources provided; Complaint withdrawn
450	Health System	Staff	Staff	Responsible Employee	Discrimination, Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Race (Black); Ethnicity (Hispanic) - Intimidating, Hostile, or Offensive Conduct; Hostile Environment - comments and unwanted advances; Stalking; Invasion of Sexual Privacy	Resources provided; Documented Discussion and Summary Letter
451	Davis	Staff	Staff	Responsible Employee	Hate and Bias	Race (unspecified) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Complainant's request met
452	Davis	Staff	Unknown	Complainant	Hate and Bias	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
453	Davis	Faculty	Department / Campus Group	Complainant	Hate/Bias	Political speech	Resources provided; Preliminary review- no evidence of policy violation
454	Davis	Undergraduate Student	Undergraduate Student	Complainant	Hate/Bias	Race (Black) - comments on social media	Resources provided; Insufficient information for further review
455	Davis	Graduate Student, Unspecified Student	Staff	Unknown	Hate/Bias	Microaggressions based on race (Asian)	Resources provided; Education for Respondent
456	Davis	Faculty	Department / Campus Group	Complainant	Hate/Bias	Political speech	Resources provided; Preliminary review- no evidence of policy violation
457	Davis	Staff	Department / Campus Group	Complainant	Hate/Bias	Race (unspecified) - comments	Resources provided; Insufficient information for further review
458	Davis	Anonymous Staff	Unknown	UCD Non-Responsible Employee	Hate/Bias	Anti-Semitic image	Resources provided; Referred to Facilities
459	Davis	Undergraduate Student	Unknown	Complainant	Hate/Bias	Anti-Semitic image	Resources provided; Respondent not or no longer affiliated with UC Davis
460	Davis	Staff	Staff	Complainant	Hate/Bias	Microaggressions based on race (Black)	Resources provided; Insufficient information for further review
461	Davis	Unknown Non-UCD Affiliate	Unknown	Responsible Employee	Hate/Bias	Race (Black) - comments on social media	Insufficient information to provide resources; Respondent not or no longer affiliated with UC Davis
462	Davis	Staff	Multiple Staff	Complainant	Hate/Bias	Disability - Unequal Treatment	Resources provided; Insufficient information for further review
463	Davis	Undergraduate Student	Unknown	Complainant	Hate/Bias	Anti-Semitic graffiti	Resources provided; Referred to Facilities
464	Davis	Undergraduate Student	Multiple Undergraduate Students	Complainant	Hate/Bias	Caste based comments on social media	Resources provided; Preliminary review- no evidence of policy violation
465	Davis	Undergraduate Student	Faculty	Complainant	Hate/Bias	Medical Treatment - Unequal Treatment	Resources provided; Complaint withdrawn
466	Davis	Faculty	Faculty	Responsible Employee	Hate/Bias	Anti-DEI Comments on social media	Resources provided; Alleged conduct not covered by Policy
467	Davis	Anonymous Unspecified Student	Unknown	Complainant	Hate/Bias	Hateful speech	Insufficient information to provide resources; Insufficient information for further review



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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
468	Davis	Graduate Student	Faculty	Complainant	Hate/Bias	Medical Treatment - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
469	Davis	Faculty	Department / Campus Group	Complainant	Hate/Bias	Sex (female) - unequal treatment	Resources provided; Pending
470	Davis	Unknown	Unknown	Complainant	Hate/Bias	Religion (Jewish) - graffiti	Resources provided; Referred to Facilities
471	Davis	Staff	Unknown	Complainant	Hate/Bias	BLM emblem defaced	Resources provided; Insufficient information for further review
472	Davis	Multiple Undergraduate Students	Multiple Undergraduate Students	Complainant	Hate/Bias	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
473	Davis	Graduate Student	Multiple Faculty, Multiple Staff	Complainant	Hate/Bias	Medical Treatment - Unequal Treatment	Resources provided; Insufficient information for further review
474	Davis	Unknown	Unknown	UCD Non-Responsible Employee	Hate/Bias	Medical Treatment - Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Insufficient information for further review
475	Davis	Unknown	Staff	Complainant	Hate/Bias	Race (Black and Arab); Sex (female) - comments on social media	Insufficient information to provide resources; Insufficient information for further review
476	Davis	Unknown	Graduate Student	Complainant	Hate/Bias	Microaggressions based on race (Black and Asian) and Sex (female)	Resources provided; Preliminary review- no evidence of policy violation
477	Davis	Undergraduate Student	Multiple Unknown	Responsible Employee	Hate/Bias	National Origin (Ethiopia) - unequal treatment	Resources provided; Insufficient information for further review
478	Davis	Staff	Staff	Responsible Employee	Hate/Bias	Pregnancy - Unequal Treatment	Resources provided; Complaint withdrawn
479	Davis	Multiple Undergraduate Students	Undergraduate Student	Responsible Employee	Hate/Bias	Sex (female) - exclusion	Resources provided; Insufficient information for further review
480	Davis	Non-UCD Affiliate, Unknown, Unspecified Student	Faculty	Complainant	Hate/Bias	Political speech	Resources provided; Respondent not or no longer affiliated with UC Davis
481	Davis	Non-UCD Affiliate	Faculty	Complainant	Hate/Bias	Political speech	Resources provided; Respondent not or no longer affiliated with UC Davis
482	Davis	Non-UCD Affiliate	Faculty	Complainant	Hate/Bias	Political speech	Resources provided; Respondent not or no longer affiliated with UC Davis
483	Davis	Non-UCD Affiliate	Faculty	Complainant	Hate/Bias	Political speech	Resources provided; Respondent not or no longer affiliated with UC Davis
484	Davis	Unknown	Unknown	Non-UCD Affiliate	Hate/Bias	Political speech	Insufficient information to provide resources; Insufficient information for further review
485	Davis	Undergraduate Student	Unknown	Complainant	Hate/Bias	Race (unspecified) - comments	Resources provided; Insufficient information for further review
486	Davis	Graduate Student, Staff	Graduate Student	Responsible Employee	Hate/Bias	Race (Asian) - comments on social media	Resources provided; Complaint withdrawn
487	Davis	Non-UCD Affiliate	Unknown	Responsible Employee	Hate/Bias	Defacing pro-Asian sign	Resources provided; Insufficient information for further review
488	Davis	Undergraduate Student	Department / Campus Group	UCD Non-Responsible Employee	Hate/Bias	National Origin (Ethiopia) - exclusion	Resources provided; Insufficient information for further review
489	Davis	Undergraduate Student	Multiple Staff	Complainant	Hate/Bias	Disability - Intimidating, Hostile, or Offensive Conduct	Resources provided; Primary respondent not or no longer affiliated with UC Davis

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
490	Davis	Undergraduate Student	Unknown	Responsible Employee	Hate/Bias	Anti-Semitic image	Resources provided; Insufficient information for further review
491	Davis	Graduate Student	Multiple Graduate Students	Responsible Employee	Hate/Bias	Race (unspecified) - comments on social media	Resources provided; Insufficient information for further review
492	Davis	Graduate Student	Unknown Graduate Student, Unknown Unspecified Staff	Complainant	Hate/Bias	Unspecified - Unequal Treatment	Resources provided; Insufficient information for further review
493	Davis	Staff	Unknown Staff	Complainant	Hate/Bias	Medical Treatment - Unequal Treatment	Resources provided; Insufficient information for further review
494	Davis	Unknown	Faculty	Complainant	Hate/Bias	Unspecified	Insufficient information to provide resources; Insufficient information for further review
495	Davis	Anonymous Unknown	Non-UCD Affiliate	Unknown	Hate/Bias	Microaggressions based on race (black)	Insufficient information to provide resources; Mitigating measures taken
496	Davis	Unknown	Graduate Student	Unknown	Hate/Bias	Sex (female) - comments	Resources provided; Verbal Counseling
497	Davis	Unknown	Graduate Student	Unknown	Hate/Bias	Sex (female) - comments	Resources provided; Education for Respondent
498	Davis	Graduate Student	Faculty, Staff	Complainant	Hate/Bias	National Origin (China) - comments	Resources provided; Documented Discussion and Summary Letter
499	Davis	Staff	Staff	Responsible Employee	Hate/Bias	Unspecified	Resources provided; Complainant's request met
500	Davis	Undergraduate Student	Unknown	Responsible Employee	Hate/Bias	Race (black) - comments and microaggressions	Resources provided; Insufficient information for further review
501	Davis	Undergraduate Student	Department / Campus Group	Responsible Employee	Hate/Bias	Unspecified racial and gender bias	Resources provided; Insufficient information for further review
502	Davis	Undergraduate Student	Faculty	Complainant	Hate/Bias	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter
503	Davis	Undergraduate Student	Undergraduate Student	Complainant	Hate/Bias	National Origin (Germany) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Alleged conduct not covered by Policy
504	Davis	Unspecified Student	Department / Campus Group	Complainant	Hate/Bias	Unspecified	Resources provided; Alleged conduct not covered by Policy
505	Davis	Staff	Multiple Staff	Complainant	Hate/Bias	National Origin (unspecified); Sex (male) - unequal treatment	Resources provided; Documented Discussion and Summary Letter
506	Davis	Staff	Staff	Complainant	Hate/Bias	National Origin (unspecified); Sex (male) - unequal treatment	Resources provided; Documented Discussion and Summary Letter
507	Davis	Undergraduate Student	Faculty	Complainant	Hate/Bias	National Origin (China) - comments	Resources provided; Documented Discussion and Summary Letter
508	Davis	Unknown Unspecified Student	Faculty	Responsible Employee	Hate/Bias	Unspecified racial and sexual microaggressions	Insufficient information to provide resources; Documented Discussion and Summary Letter
509	Davis	Undergraduate Student	Faculty	UCD Non-Responsible Employee	Hate/Bias	Microaggressions based on sex (male) and disability (unspecified)	Resources provided; Insufficient information for further review
510	Health System	Staff	Staff	Responsible Employee	Hate/Bias	Race (Asian) - comments	Resources provided; Complaint withdrawn
511	Health System	Unknown Patient	Unknown Staff	Complainant	Hate/Bias	Race (Unspecified) - unequal treatment	Insufficient information to provide resources; Education for Department / Campus Group
512	Health System	Staff	Staff	Complainant	Hate/Bias	Sex (female) - comments	Resources provided; Documented Discussion and Summary Letter

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
513	Health System	Unknown Staff	Staff	Complainant	Hate/Bias	Unspecified	Insufficient information to provide resources; Insufficient information for further review
514	Health System	Former Affiliate	Department / Campus Group	UCD Non-Responsible Employee	Hate/Bias	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
515	Health System	Patient	Unknown Staff	Complainant	Hate/Bias	Unspecified	Resources provided; Insufficient information for further review
516	Health System	Staff	Department / Campus Group	Responsible Employee	Hate/Bias	Microaggression based on medical status	Resources provided; Education for Department/Group
517	Health System	Staff	Unknown	Complainant	Hate/Bias	Unspecified	Resources provided; Insufficient information for further review
518	Health System	Staff	Staff	UCD Non-Responsible Employee	Hate/Bias	Anti-Trans comments on social media	Resources provided; Insufficient information for further review
519	Health System	Staff	Multiple Staff	Complainant	Hate/Bias	Race (Black) - unfair treatment	Resources provided; Preliminary review- no evidence of policy violation
520	Health System	Staff	Staff	Complainant	Hate/Bias	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Alleged conduct not covered by Policy
521	Health System	Staff	Staff	Complainant	Hate/Bias	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation; Referred to Employee and Labor Relations
522	Health System	Unknown	Staff	Complainant	Hate/Bias	Microaggression based on race (black)	Resources provided; Insufficient information for further review
523	Health System	Staff	Staff	Complainant	Hate/Bias	Unspecified - Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review- no evidence of policy violation
524	Health System	Former Affiliate, Staff	Staff	Respondent	Hate/Bias	Race (black) - unfair treatment	Resources provided; Preliminary review- no evidence of policy violation
525	Health System	Anonymous Staff	Staff	Complainant	Hate/Bias	Unspecified - Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Insufficient information for further review
526	Health System	Anonymous Unspecified Student	Staff	Unknown	Hate/Bias	Microaggressions based on race (Black)	Insufficient information to provide resources; Insufficient information for further review
527	Health System	Anonymous Unspecified Student	Staff	Unknown	Hate/Bias	Microaggressions based on race (Black)	Insufficient information to provide resources; Insufficient information for further review
528	Health System	Unknown	Unknown Staff	Responsible Employee	Hate/Bias	National Origin (Russia) - exclusion	Insufficient information to provide resources; Insufficient information for further review
529	Health System	Staff	Staff	Complainant	Hate/Bias	Microaggressions based on sex (male) and disability (unspecified)	Resources provided; Alleged conduct not covered by Policy; Referred to Employee and Labor Relations
530	Davis	Staff, Graduate Student	Unknown Graduate Student	Responsible Employee	Hate/Bias, Sexual Harassment	Unspecified - Intimidating, Hostile, or Offensive Conduct; Hostile Environment unspecified	Resources provided; Insufficient information for further review
531	Health System	Staff	Staff	Responsible Employee	Hate/Bias, Sexual Harassment	Microaggressions based on race (unspecified) and sexual orientation (gay)	Resources provided; Documented Discussion and Summary Letter
532	Health System	Staff	Multiple Staff	Complainant	Hate/Bias, Sexual Harassment	Sexual Orientation (gay) - Intimidating, Hostile, or Offensive Conduct	Resources provided; Documented Discussion and Summary Letter

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	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
533	ANR	Staff	Unknown	Complainant	Other	Unknown	Resources provided; Insufficient information for further review
534	Davis	Undergraduate Student	Undergraduate Student	Complainant	Other	Intimidating Behavior	Resources provided; Preliminary review- no evidence of policy violation; Referred to OSSJA
535	Davis	Former Affiliate	Faculty	Responsible Employee	Other	Other	Resources provided; Preliminary review- no evidence of policy violation
536	Davis	Graduate Student	Unknown Faculty	Responsible Employee	Other	Aggressive Communication	Resources provided; Complainant's request met
537	Davis	Unknown Graduate Student	Unknown Staff	Responsible Employee	Other	Intimidating, Hostile, or Offensive Conduct	Insufficient information to provide resources; Insufficient information for further review
538	Davis	Undergraduate Student	Unknown	Responsible Employee	Other	Unknown	Resources provided; Insufficient information for further review
539	Davis	Undergraduate Student	Unknown	Responsible Employee	Other	Unknown	Resources provided; Alleged conduct not covered by Policy
540	Davis	Staff	Multiple Unknown Staff	Responsible Employee	Other	Verbal Abuse	Resources provided; Insufficient information for further review
541	Davis	Staff	Multiple Staff	Respondent	Other	Other	Resources provided; Alleged conduct not covered by Policy
542	Davis	Unspecified Student	Unspecified Student	Complainant	Other	Intimidating Behavior	Resources provided; Preliminary review- no evidence of policy violation; Referred to OSSJA
543	Davis	Staff	Non-UCD Affiliate	Responsible Employee	Other	Bullying	Resources provided; Insufficient information for further review
544	Davis	Staff	Unknown	Responsible Employee	Other	Offensive Posters	Resources provided; Poster removed consistent with posting policy
545	Davis	Graduate Student, Staff	Unknown	Responsible Employee	Other	Unknown	Resources provided; Insufficient information for further review
546	Davis	Unknown	Unknown	UCD Non-Responsible Employee	Other	Unknown	Insufficient information to provide resources; Insufficient information for further review
547	Davis	Undergraduate Student	Undergraduate Student	Complainant	Other	Unknown	Resources provided; Insufficient information for further review
548	Davis	Staff	Staff	Complainant	Other	Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
549	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Other	Other	Resources provided; Alleged conduct not covered by Policy
550	Davis	Multiple Undergraduate Students	Former Affiliate	Responsible Employee	Other	Unwanted Attention	Resources provided; Verbal Counseling
551	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Complainant	Other	Unknown	Resources provided; Respondent not or no longer affiliated with UC Davis
552	Davis	Graduate Student	Graduate Student	Responsible Employee	Other	Threatening Messages	Resources provided; Preliminary review- no evidence of policy violation; Referred to OSSJA
553	Davis	Staff	Staff	Complainant	Other	Unprofessional Communication	Resources provided; Alleged conduct not covered by Policy
554	Davis	Unknown	Unknown Non-UCD Affiliate	Complainant	Other	Unknown	Resources provided; Respondent not or no longer affiliated with UC Davis
555	Davis	Graduate Student	Undergraduate Student	Responsible Employee	Other	Unknown	Resources provided; Complaint withdrawn

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
556	Davis	Faculty	Department / Campus Group	Responsible Employee	Other	Intimidating, Hostile, or Offensive Conduct	Resources provided; Preliminary review-no evidence of policy violation
557	Davis	Non-UCD Affiliate	Faculty	Responsible Employee	Other	Other	Resources provided; Documented Discussion and Summary Letter
558	Health System	Unknown	Unknown	Complainant	Other	Unknown	Insufficient information to provide resources; Insufficient information for further review
559	Health System	Staff	Multiple Staff	Complainant	Other	Unknown	Resources provided; Primary respondent not or no longer affiliated with UC Davis
560	Health System	Unknown Staff	Faculty	Responsible Employee	Other	Condescending Comments	Insufficient information to provide resources; Preliminary review-no evidence of policy violation
561	Health System	Staff	Unknown Staff	Complainant	Other	Unknown	Resources provided; Insufficient information for further review
562	Health System	Staff	Staff	Responsible Employee	Other	Threatening Behavior	Resources provided; Letter of Suspension issued for other misconduct
563	Health System	Staff	Unknown Staff	Complainant	Other	Unknown	Resources provided; Preliminary review-no evidence of policy violation
564	Health System	Staff	Unknown Staff	Complainant	Other	Unknown	Resources provided; Preliminary review-no evidence of policy violation
565	Health System	Staff	Multiple Staff	UCD Non-Responsible Employee	Other	Bullying	Resources provided; Education provided to Department
566	Health System	Staff	Unknown Staff	Responsible Employee	Other	Intimidating, Hostile, or Offensive Conduct	Resources provided; Insufficient information for further review
567	Unknown	Unknown	Unknown	Complainant	Other	Unknown	Resources provided; Complaint withdrawn
568	ANR	Other	Other	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Documented Discussion and Summary Letter
569	ANR	Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Documented Discussion and Summary Letter
570	Davis	Graduate Student	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Documented Discussion and Summary Letter
571	Davis	Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - rumors and invasive questions	Resources provided; Complaint withdrawn
572	Davis	Unknown	Undergraduate Student	Complainant	Sexual Harassment	Hostile Environment - comments	Insufficient information to provide resources; Preliminary review-no evidence of policy violation
573	Davis	Former Affiliate	Staff	Complainant	Sexual Harassment	Hostile Environment - comments	Resources provided; Alleged conduct not covered by Policy
574	Davis	Unknown	Unknown	UCD Non-Responsible Employee	Sexual Harassment	Hostile Environment - comments	Insufficient information to provide resources; Insufficient information for further review
575	Davis	Staff	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Education for Department/Group
576	Davis	Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Respondent not or no longer affiliated with UC Davis
577	Davis	Graduate Student, Staff, Undergraduate Student	Unknown Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Education for Department/Group

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	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
578	Davis	Faculty, Staff	Faculty, Staff	Complainant	Sexual Harassment	Hostile Environment - comments and gestures	Resources provided; Complainant's request met
579	Davis	Unknown Staff, Unknown Undergraduate Student	Former Affiliate	Responsible Employee	Sexual Harassment	Hostile Environment - unsolicited pictures	Insufficient information to provide resources; Respondent not or no longer affiliated with UC Davis
580	Davis	Unknown Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention and comments	Insufficient information to provide resources; Insufficient information for further review
581	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Documented Discussion and Summary Letter
582	Davis	Unknown Graduate Student	Unknown Faculty	Responsible Employee	Sexual Harassment	Quid Pro Quo	Insufficient information to provide resources; Insufficient information for further review
583	Davis	Graduate Student	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Complaint withdrawn
584	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments and images	Resources provided; Documented Discussion and Summary Letter
585	Davis	Undergraduate Student	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Respondent not or no longer affiliated with UC Davis
586	Davis	Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - touching	Resources provided; Documented Discussion and Summary Letter
587	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Letter of Warning issued
588	Davis	Multiple Undergraduate Students	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments and touching	Resources provided; Documented Discussion and Summary Letter
589	Davis	Unknown	Unknown	Unknown	Sexual Harassment	Hostile Environment - Unspecified	Insufficient information to provide resources; Insufficient information for further review
590	Davis	Undergraduate Student	Staff, Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments; Retaliation	Resources provided; Documented Discussion and Summary Letter
591	Davis	Graduate Student	Graduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention and touching	Resources provided; Complaint withdrawn
592	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention and touching	Resources provided; Insufficient information for further review
593	Davis	Former Affiliate	Former Affiliate	Responsible Employee	Sexual Harassment	Hostile Environment - other	Resources provided; Insufficient information for further review
594	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention and touching	Resources provided; Documented Discussion and Summary Letter
595	Davis	Undergraduate Student	Unspecified Student	Complainant	Sexual Harassment	Hostile Environment - comments	Resources provided; Insufficient information for further review
596	Davis	Staff	Staff	Complainant	Sexual Harassment	Hostile Environment - comments	Resources provided; Documented Discussion and Summary Letter
597	Davis	Unknown	Unknown Staff	Complainant	Sexual Harassment	Hostile Environment - comments	Insufficient information to provide resources; Education for Department / Campus Group
598	Davis	Unknown Unspecified Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Insufficient information for further review

# Appendix 1

Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
599	Davis	Staff	Multiple Unknown Faculty	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Complaint withdrawn
600	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Alleged conduct not covered by Policy
601	Davis	Graduate Student, Staff	Unspecified Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Documented Discussion and Summary Letter
602	Davis	Undergraduate Student	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Respondent excluded from future activities
603	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments and other	Resources provided; Documented Discussion and Summary Letter
604	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Complainant's request met
605	Davis	Undergraduate Student	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Documented Discussion and Summary Letter
606	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Documented Discussion and Summary Letter
607	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Documented Discussion and Summary Letter
608	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Alleged conduct not covered by Policy
609	Davis	Multiple Undergraduate Students	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
610	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Preliminary review- no evidence of policy violation; Referred to OSSJA
611	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Complaint withdrawn
612	Davis	Multiple Graduate Students	Graduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Documented Discussion and Summary Letter
613	Davis	Unknown Unspecified Student	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - touching	Insufficient information to provide resources; Insufficient information for further review
614	Davis	Graduate Student	Graduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Alleged conduct not covered by Policy
615	Davis	Graduate Student	Graduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Complaint withdrawn
616	Davis	Multiple Undergraduate Students	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - other	Resources provided; Complaint withdrawn
617	Davis	Faculty	Unknown Faculty	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Education for Department/Group
618	Davis	Unspecified Student	Non-UCD Affiliate	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Respondent not or no longer affiliated with UC Davis
619	Davis	Unknown	Undergraduate Student	Complainant	Sexual Harassment	Hostile Environment - touching	Insufficient information to provide resources; Insufficient information for further review
620	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
621	Davis	Unknown Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Insufficient information to provide resources; Letter of Suspension issued to Respondent

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
622	Davis	Former Affiliate, Unknown Graduate Student	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Documented Discussion and Summary Letter
623	Davis	Graduate Student	Faculty	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Complaint withdrawn
624	Davis	Undergraduate Student	Unknown Faculty	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
625	Davis	Unknown	Former Affiliate, Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Insufficient information to provide resources; Respondent not or no longer affiliated with UC Davis
626	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - other	Resources provided; Complainant's request met
627	Davis	Unknown Unspecified Student	Staff	Patient	Sexual Harassment	Hostile Environment - comments	Insufficient information to provide resources; Documented Discussion and Summary Letter
628	Davis	Faculty	Former Affiliate	Complainant	Sexual Harassment	Hostile Environment - comments	Resources provided; Respondent not or no longer affiliated with UC Davis
629	Davis	Multiple Graduate Students, Multiple Undergraduate Students, Multiple Former Affiliates, Multiple Non-UCD Affiliates	Faculty	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Preliminary review- no evidence of policy violation
630	Davis	Faculty	Unspecified Faculty, Unspecified Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments and stereotyping	Resources provided; Complaint withdrawn
631	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
632	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Insufficient information for further review
633	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments and other	Resources provided; Complaint withdrawn
634	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Insufficient information for further review
635	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
636	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Insufficient information for further review
637	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Complainant's request met
638	Davis	Multiple Unknown Undergraduate Students	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Insufficient information to provide resources; Insufficient information for further review
639	Davis	Graduate Student	Graduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Complainant's request met



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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
640	Davis	Unknown Unspecified Student	Unspecified Student	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Insufficient information to provide resources; Insufficient information for further review
641	Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
642	Davis	Undergraduate Student	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Insufficient information for further review
643	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
644	Davis	Faculty	Unknown Staff	Complainant	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
645	Davis	Multiple Undergraduate Students	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - crude language	Resources provided; Alleged conduct not covered by Policy
646	Davis	Multiple Undergraduate Students	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments and unwanted advances	Resources provided; Documented Discussion and Summary Letter
647	Davis	Multiple Undergraduate Students	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Alleged conduct not covered by Policy
648	Davis	Multiple Undergraduate Students	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Insufficient information for further review
649	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Complainant's request met; Documented Discussion and Summary Letter
650	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Harassment	Hostile Environment - comments and touching	Resources provided; Respondent not or no longer affiliated with UC Davis
651	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
652	Davis	Undergraduate Student	Unknown Staff	Complainant	Sexual Harassment	Hostile Environment - comments	Resources provided; Education for Department/Group
653	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention and touching	Resources provided; Respondent not or no longer affiliated with UC Davis
654	Davis	Undergraduate Student	Unknown Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Insufficient information for further review
655	Davis	Unknown Graduate Student	Unknown Staff	Complainant	Sexual Harassment	Hostile Environment - Unspecified	Insufficient information to provide resources; Insufficient information for further review
656	Davis	Undergraduate Student	Staff, Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Documented Discussion and Summary Letter
657	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Complaint withdrawn
658	Davis	Former Affiliate	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Documented Discussion and Summary Letter
659	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Insufficient information for further review
660	Davis	Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - invasive questions and touching	Resources provided; Documented Discussion and Summary Letter
661	Davis	Undergraduate Student	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Insufficient information for further review
662	Davis	Undergraduate Student, Unknown	Unknown	Complainant	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Insufficient information for further review

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
663	Davis	Undergraduate Student	Unknown	Complainant	Sexual Harassment	Hostile Environment - images	Resources provided; Insufficient information for further review
664	Davis	Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Complainant's request met; Documented Discussion and Summary Letter
665	Davis	Unknown	Graduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Insufficient information to provide resources; Referred to Student Affairs
666	Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Harassment	Hostile Environment - other	Resources provided; Insufficient information for further review
667	Davis	Graduate Student	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - intimidation and unwanted attention	Resources provided; Documented Discussion and Summary Letter
668	Davis	Undergraduate Student	Unspecified Student	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Education for Department/Group
669	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
670	Davis	Unknown	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Insufficient information to provide resources; Insufficient information for further review
671	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Insufficient information for further review
672	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Complainant's request met
673	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Insufficient information for further review
674	Davis	Unknown Former Affiliate	Faculty, Staff	Complainant	Sexual Harassment	Hostile Environment - other	Insufficient information to provide resources; Preliminary review-no evidence of policy violation
675	Davis	Unknown Undergraduate Student	Department / Campus Group	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Insufficient information to provide resources; Insufficient information for further review
676	Davis	Faculty	Faculty	Complainant	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Documented Discussion and Summary Letter
677	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Documented Discussion and Summary Letter
678	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
679	Davis	Undergraduate Student	Unknown Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - other	Resources provided; Complainant's request met
680	Davis	Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Documented Discussion and Summary Letter
681	Davis	Unknown	Staff	Complainant	Sexual Harassment	Hostile Environment - staring	Resources provided; Documented Discussion and Summary Letter
682	Davis	Undergraduate Student	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Documented Discussion and Summary Letter
683	Davis	Undergraduate Student	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - images	Resources provided; Insufficient information for further review
684	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - touching	Resources provided; Insufficient information for further review
685	Davis	Graduate Student	Unknown Staff	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
686	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - touching	Resources provided; Insufficient information for further review
687	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Alleged conduct not covered by Policy
688	Davis	Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - touching	Resources provided; Documented Discussion and Summary Letter
689	Davis	Non-UCD Affiliate	Undergraduate Student	Complainant	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Insufficient information for further review
690	Davis	Multiple Undergraduate Students	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Respondent not or no longer affiliated with UC Davis
691	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - other	Resources provided; Insufficient information for further review
692	Davis	Graduate Student	Graduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Insufficient information for further review
693	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
694	Davis	Undergraduate Student	Unspecified Student	Complainant	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
695	Davis	Multiple Non-UCD Affiliates	Undergraduate Student	Non-UCD Affiliate	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Insufficient information for further review
696	Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Harassment	Hostile Environment - invasive questions	Resources provided; Verbal Counseling
697	Davis	Staff, Undergraduate Student	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
698	Davis	Department / Campus Group	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Insufficient information for further review
699	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Complainant's request met
700	Davis	Former Affiliate	Faculty	Responsible Employee	Sexual Harassment	Hostile Environment - misgendering and deadnaming; Retaliation	Resources provided; Insufficient information for further review
701	Davis	Graduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Documented Discussion and Summary Letter
702	Davis	Multiple Undergraduate Students	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
703	Davis	Non-UCD Affiliate	Undergraduate Student	Non-UCD Affiliate	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Alleged conduct not covered by Policy
704	Davis	Undergraduate Student	Graduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Documented Discussion and Summary Letter
705	Davis	Anonymous Unspecified Student	Unknown	Non-UCD Affiliate	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
706	Davis	Multiple Undergraduate Students	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
707	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Documented Discussion and Summary Letter
708	Davis	Unknown	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - touching	Insufficient information to provide resources; Respondent not or no longer affiliated with UC Davis

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
709	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
710	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Education for Department/Group
711	Davis	Multiple Graduate Students	Graduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - undermining	Resources provided; Documented Discussion and Summary Letter
712	Davis	Undergraduate Student	Unspecified Student	Complainant	Sexual Harassment	Hostile Environment - comments	Resources provided; Insufficient information for further review
713	Davis	Non-UCD Affiliate	Multiple Unspecified Students	UCD Non-Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
714	Davis	Former Affiliate	Unspecified Student	Complainant	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Preliminary review-no evidence of policy violation
715	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
716	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Insufficient information for further review
717	Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Harassment	Hostile Environment - comments	Resources provided; Alleged conduct not covered by Policy
718	Davis	Staff	Staff	Complainant	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Preliminary review-no evidence of policy violation; Referred to Employee and Labor Relations
719	Davis	Unknown Unspecified Student	Faculty	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Insufficient information to provide resources; Insufficient information for further review
720	Davis	Undergraduate Student	Former Affiliate	Complainant	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Alleged conduct not covered by Policy
721	Davis	Unknown	Former Affiliate	Complainant	Sexual Harassment	Hostile Environment - comments	Resources provided; Respondent not or no longer affiliated with UC Davis
722	Davis	Staff	Department / Campus Group	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Complaint withdrawn
723	Davis	Anonymous Staff	Staff	Complainant	Sexual Harassment	Hostile Environment - comments	Insufficient information to provide resources; Documented Discussion and Summary Letter
724	Health System	Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Respondent not or no longer affiliated with UC Davis
725	Health System	Staff	Patient	Complainant	Sexual Harassment	Hostile Environment - comments	Resources provided; Education for Department/Group
726	Health System	Non-UCD Affiliate	Staff	Non-UCD Affiliate	Sexual Harassment	Hostile Environment - comments	Resources provided; Documented Discussion and Summary Letter
727	Health System	Unknown Patient	Staff	Non-UCD Affiliate	Sexual Harassment	Hostile Environment - Unspecified	Insufficient information to provide resources; Preliminary review-no evidence of policy violation
728	Health System	Staff	Staff	UCD Non-Responsible Employee	Sexual Harassment	Hostile Environment - staring	Resources provided; Documented Discussion and Summary Letter
729	Health System	Graduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Harassment	Hostile Environment - comments and touching	Resources provided; Insufficient information for further review
730	Health System	Anonymous Staff	Staff	Unknown	Sexual Harassment	Hostile Environment - comments	Resources provided; Documented Discussion and Summary Letter
731	Health System	Unknown	Staff	UCD Non-Responsible Employee	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Documented Discussion and Summary Letter

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
732	Health System	Staff	Multiple Staff	UCD Non-Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Documented Discussion and Summary Letter
733	Health System	Staff	Staff	Complainant	Sexual Harassment	Hostile Environment - intimidation	Resources provided; Complaint withdrawn
734	Health System	Patient	Multiple Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Education for Respondents
735	Health System	Anonymous Staff	Staff	Unknown	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Documented Discussion and Summary Letter
736	Health System	Staff	Patient	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Respondent excluded from future activities
737	Health System	Staff	Staff	Non-UCD Affiliate	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Complainant's request met; Documented Discussion and Summary Letter
738	Health System	Staff	Unknown Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Insufficient information for further review
739	Health System	Staff	Patient	Complainant	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Respondent not or no longer affiliated with UC Davis
740	Health System	Staff	Multiple Staff	Responsible Employee	Sexual Harassment	Retaliation	Resources provided; Preliminary review- no evidence of policy violation; Referred to Employee and Labor Relations
741	Health System	Staff	Department / Campus Group	Complainant	Sexual Harassment	Hostile Environment - unequal treatment	Resources provided; Complainant's request met
742	Health System	Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Education for Department/Group
743	Health System	Staff	Staff	Complainant	Sexual Harassment	Hostile Environment - unwanted attention and touching	Resources provided; Documented Discussion and Summary Letter
744	Health System	Staff	Staff	Complainant	Sexual Harassment	Hostile Environment - touching	Resources provided; Documented Discussion and Summary Letter
745	Health System	Multiple Staff	Multiple Staff	Complainant	Sexual Harassment	Hostile Environment - touching	Resources provided; Preliminary review- no evidence of policy violation; Referred to Employee and Labor Relations
746	Health System	Staff	Unknown	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Education for Department/Group
747	Health System	Multiple Patients	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Resources provided; Preliminary review- no evidence of policy violation
748	Health System	Staff, Unknown	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Preliminary review- no evidence of policy violation
749	Health System	Staff	Former Affiliate	Responsible Employee	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Respondent excluded from future activities
750	Health System	Patient	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - touching	Resources provided; Preliminary review- no evidence of policy violation
751	Health System	Unknown Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Insufficient information to provide resources; Letter of Expectation issued to Respondent
752	Health System	Unknown Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - Unspecified	Insufficient information to provide resources; Insufficient information for further review
753	Health System	Staff	Unknown Staff	Complainant	Sexual Harassment	Hostile Environment - comments	Resources provided; Insufficient information for further review

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
754	Health System	Staff	Staff	Complainant	Sexual Harassment	Hostile Environment - comments	Resources provided; Respondent not or no longer affiliated with UC Davis
755	Health System	Graduate Student	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - touching and staring	Resources provided; Documented Discussion and Summary Letter
756	Health System	Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - other	Resources provided; Documented Discussion and Summary Letter
757	Health System	Staff	Staff	Complainant	Sexual Harassment	Hostile Environment - comments	Resources provided; Respondent released from employment for other conduct
758	Health System	Multiple Staff	Multiple Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Resources provided; Preliminary review- no evidence of policy violation
759	Health System	Staff	Multiple Staff	Responsible Employee	Sexual Harassment	Hostile Environment - other	Resources provided; Respondent dismissed for other misconduct
760	Health System	Staff	Staff	Complainant	Sexual Harassment	Hostile Environment - comments	Resources provided; Complaint withdrawn
761	Health System	Staff	Staff	Complainant	Sexual Harassment	Hostile Environment - unwanted attention	Resources provided; Documented Discussion and Summary Letter
762	Health System	Staff	Patient	Complainant	Sexual Harassment	Hostile Environment - unwanted advances	Resources provided; Education for Department/Group
763	Health System	Staff	Patient	Complainant	Sexual Harassment	Hostile Environment - comments and touching	Resources provided; Mitigating measures taken
764	Health System	Staff	Staff	Complainant	Sexual Harassment	Hostile Environment - touching	Resources provided; Documented Discussion and Summary Letter
765	Health System	Faculty	Multiple Faculty	Responsible Employee	Sexual Harassment	Hostile Environment - comments and gender stereotyping	Resources provided; Documented Discussion and Summary Letter
766	Davis	Staff	Former Affiliate, Staff	Responsible Employee	Sexual Harassment, Other	Hostile Environment - comments; Other	Resources provided; Complainant's request met; Documented Discussion and Summary Letter
767	Davis	Staff	Staff	Complainant	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - comments; Stalking	Resources provided; Documented Discussion and Summary Letter
768	Davis	Former Affiliate	Staff, Unknown	Complainant	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - unwanted kissing and hugging; Sexual Assault (Penetration)	Resources provided; Respondent released from employment
769	Davis	Unknown	Staff, Non-UCD Affiliate	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - unwanted attention; Sexual Assault (Penetration)	Resources provided; Insufficient information for further review
770	Davis	Graduate Student, Unknown	Undergraduate Student	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - images; Invasion of Sexual Privacy	Resources provided; Documented Discussion and Summary Letter
771	Davis	Faculty, Staff, Undergraduate Student	Staff	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - comments; Sexual Assault (Contact); Indecent Exposure	Resources provided; Respondent not or no longer affiliated with UC Davis
772	Davis	Non-UCD Affiliate	Faculty	Complainant	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Unspecified, Stalking	Resources provided; Alleged conduct not covered by Policy
773	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - comments, attention; Stalking	Resources provided; Complainant's request met

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
774	Davis	Undergraduate Student	Unknown Undergraduate Student	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - unwanted attention; Stalking	Resources provided; Insufficient information for further review
775	Davis	Undergraduate Student	Multiple Undergraduate Students	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - comments, attention; Stalking	Resources provided; Complainant's request met
776	Davis	Graduate Student	Unknown	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Unspecified, Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
777	Davis	Graduate Student	Unknown	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Unspecified, Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
778	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Sexual Harassment (Unspecified); Sexual Assault (Unspecified); Invasion of Sexual Privacy	Resources provided; Insufficient information for further review
779	Davis	Former Affiliate	Faculty	Complainant	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Unspecified, Invasion of Sexual Privacy	Resources provided; Insufficient information for further review
780	Davis	Staff	Staff	Complainant	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - communication; Stalking	Resources provided; Documented Discussion and Summary Letter
781	Davis	Anonymous Unspecified Student	Unspecified Student	Complainant	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - unwanted kissing, hugging, and touching; Sexual Assault (Contact)	Resources provided; Insufficient information for further review
782	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - unwanted attention; Stalking	Resources provided; Complainant's request met
783	Davis	Multiple Undergraduate Students	Undergraduate Student	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - unwanted attention; Stalking	Resources provided; Insufficient information for further review
784	Davis	Unknown Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - comments, attention; Sexual Assault (Penetration);	Insufficient information to provide resources; Insufficient information for further review
785	Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - attention; Sexual Assault (Contact)	Resources provided; Insufficient information for further review
786	Davis	Staff	Staff	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - images; Invasion of Sexual Privacy	Resources provided; Complainant's request met; Documented Discussion and Summary Letter
787	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
788	Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - comments, attention; Sexual Assault (Contact);	Resources provided; Insufficient information for further review
789	Davis	Undergraduate Student	Non-UCD Affiliate	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - comments; Stalking; Invasion of Sexual Privacy; Sexual Assault (Unspecified)	Resources provided; Insufficient information for further review
790	Davis	Undergraduate Student	Unspecified Student	Complainant	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - unwanted attention; Stalking	Resources provided; Insufficient information for further review

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
791	Davis	Multiple Graduate Students, Multiple Undergraduate Students	Staff	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - touching, attention; Sexual Assault (Contact);	Resources provided; Documented Discussion and Summary Letter
792	Davis	Multiple Undergraduate Students	Undergraduate Student	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - comments; Stalking	Resources provided; Insufficient information for further review
793	Davis	Unknown	Undergraduate Student	Complainant	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - touching; Sexual Assault (Contact)	Resources provided; Insufficient information for further review
794	Davis	Undergraduate Student	Department / Campus Group, Undergraduate Student	Complainant	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - social media; Sexual Exploitation	Resources provided; Complaint withdrawn
795	Health System	Patient	Staff	Non-UCD Affiliate	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - sexual images; Invasion of Sexual Privacy	Resources provided; Documented Discussion and Summary Letter
796	Health System	Former Affiliate	Staff	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Quid Pro Quo; Retaliation	Resources provided; Respondent dismissed for other misconduct
797	Health System	Staff	Patient	Complainant	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - comments; Sexual Assault (Contact); Indecent Exposure	Resources provided; Mitigating measures taken
798	Health System	Faculty, Unknown	Staff	Unknown	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - images; Invasion of Sexual Privacy	Resources provided; Documented Discussion and Summary Letter
799	Health System	Patient	Unknown Staff	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - comments, attention; Sexual Assault (Unspecified)	Resources provided; Preliminary review- no evidence of policy violation
800	Davis	Undergraduate Student	Unknown	UCD Non-Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
801	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
802	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
803	Davis	Undergraduate Student	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
804	Davis	Graduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Complainant's request met
805	Davis	Undergraduate Student	Unknown	UCD Non-Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
806	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
807	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Alleged conduct not covered by Policy
808	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
809	Davis	Graduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
810	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review



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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
811	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
812	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
813	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
814	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
815	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
816	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
817	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Complainant's request met
818	Davis	Unknown	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review
819	Davis	Undergraduate Student	Unknown Graduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
820	Davis	Graduate Student, Unknown	Former Affiliate, Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Invasion of Sexual Privacy	Resources provided; Respondent excluded from future activities
821	Davis	Undergraduate Student	Unspecified Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Unspecified	Resources provided; Insufficient information for further review
822	Davis	Unknown	Former Affiliate	Complainant	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Alleged conduct not covered by Policy
823	Davis	Non-UCD Affiliate	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
824	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
825	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
826	Davis	Non-UCD Affiliate	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
827	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Invasion of Sexual Privacy	Resources provided; Insufficient information for further review
828	Davis	Non-UCD Affiliate	Unspecified Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
829	Davis	Staff	Former Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
830	Davis	Non-UCD Affiliate	Graduate Student	Non-UCD Affiliate	Sexual Violence or Other Prohibited Behavior	Relationship Violence, Sexual Assault - Penetration	Resources provided; Alleged conduct not covered by Policy
831	Davis	Undergraduate Student	Department / Campus Group	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Invasion of Sexual Privacy	Resources provided; Respondent group not or no longer affiliated with UC Davis
832	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
833	Davis	Former Affiliate	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
834	Davis	Staff, Unspecified Student	Unknown	UCD Non-Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
835	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
836	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Invasion of Sexual Privacy	Resources provided; Insufficient information for further review
837	Davis	Graduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Respondent not or no longer affiliated with UC Davis
838	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
839	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
840	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
841	Davis	Graduate Student	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
842	Davis	Unspecified Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
843	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review
844	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
845	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
846	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
847	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Alleged conduct not covered by Policy
848	Davis	Unknown	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Alleged conduct not covered by Policy
849	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence, Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
850	Davis	Unknown	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review
851	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
852	Davis	Graduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
853	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
854	Davis	Undergraduate Student	Unspecified Student	UCD Non-Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
855	Davis	Unspecified Student	Undergraduate Student	UCD Non-Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review
856	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration, Relationship Violence	Resources provided; Insufficient information for further review
857	Davis	Unknown	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Insufficient information to provide resources; Education/training for Respondent
858	Davis	Unknown	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review

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	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
859	Davis	Unknown Unspecified Student	Unknown Unspecified Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence, Sexual Assault - Penetration	Insufficient information to provide resources; Insufficient information for further review
860	Davis	Graduate Student	Graduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Documented Discussion and Summary Letter
861	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
862	Davis	Graduate Student	Graduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
863	Davis	Undergraduate Student	Unspecified Student	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review
864	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified, Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
865	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
866	Davis	Unspecified Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
867	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
868	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
869	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
870	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
871	Davis	Undergraduate Student, Unknown	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
872	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
873	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
874	Davis	Undergraduate Student	Unspecified Student	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Complainant's request met
875	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review
876	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Documented Discussion and Summary Letter
877	Davis	Unknown Unspecified Student	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Insufficient information to provide resources; Alleged conduct not covered by Policy
878	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
879	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
880	Davis	Undergraduate Student	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
881	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
882	Davis	Staff	Faculty	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Retaliation	Resources provided; Insufficient information for further review

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
883	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Alleged conduct not covered by Policy
884	Davis	Graduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
885	Davis	Undergraduate Student	Former Affiliate, Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence, Stalking	Resources provided; Insufficient information for further review
886	Davis	Undergraduate Student	Unknown	Non-UCD Affiliate	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
887	Davis	Unknown Unspecified Student	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Insufficient information to provide resources; Alleged conduct not covered by Policy
888	Davis	Graduate Student	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
889	Davis	Unspecified Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
890	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
891	Davis	Undergraduate Student	Unknown	Complainant	Sexual Violence or Other Prohibited Behavior	Indecent Exposure	Resources provided; Insufficient information for further review
892	Davis	Multiple Undergraduate Students	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
893	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Alleged conduct not covered by Policy
894	Davis	Former Affiliate	Former Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
895	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Insufficient information for further review
896	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
897	Davis	Multiple Unknown Undergraduate Students, Unknown	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Insufficient information to provide resources; Insufficient information for further review
898	Davis	Unknown	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
899	Davis	Graduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
900	Davis	Unknown Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Insufficient information to provide resources; Insufficient information for further review
901	Davis	Undergraduate Student	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Respondent not or no longer affiliated with UC Davis
902	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified, Relationship Violence	Resources provided; Insufficient information for further review
903	Davis	Non-UCD Affiliate	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Intercourse with a minor	Resources provided; Respondent not or no longer affiliated with UC Davis
904	Davis	Graduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Alleged conduct not covered by Policy
905	Davis	Undergraduate Student	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Alleged conduct not covered by Policy

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	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
906	Davis	Unknown Unspecified Student	Multiple Non-UCD Affiliates	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Insufficient information to provide resources; Alleged conduct not covered by Policy
907	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Non-UCD Affiliate	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
908	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
909	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
910	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
911	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
912	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
913	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Respondent not or no longer affiliated with UC Davis
914	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
915	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Complainant's request met
916	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence, Sexual Assault - Penetration	Resources provided; Insufficient information for further review
917	Davis	Undergraduate Student	Unspecified Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
918	Davis	Undergraduate Student	Multiple Unknown Undergraduate Students	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence, Stalking	Resources provided; Insufficient information for further review
919	Davis	Undergraduate Student	Graduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Complaint withdrawn
920	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
921	Davis	Undergraduate Student	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
922	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
923	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
924	Davis	Non-UCD Affiliate	Former Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
925	Davis	Former Affiliate	Graduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Invasion of Sexual Privacy	Resources provided; Alleged conduct not covered by Policy
926	Davis	Multiple Undergraduate Students	Multiple Undergraduate Students	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Indecent Exposure	Resources provided; Education for Department/Group
927	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
928	Davis	Multiple Undergraduate Students	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Insufficient information for further review

# Appendix 1

Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
929	Davis	Undergraduate Student	Multiple Undergraduate Students	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
930	Davis	Unknown Undergraduate Student	Unknown Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Insufficient information to provide resources; Insufficient information for further review
931	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
932	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
933	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
934	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
935	Davis	Undergraduate Student	Unspecified Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
936	Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Complainant's request met
937	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
938	Davis	Unknown	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
939	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
940	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Alleged conduct not covered by Policy
941	Davis	Undergraduate Student	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Respondent not or no longer affiliated with UC Davis
942	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Complainant's request met
943	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
944	Davis	Non-UCD Affiliate	Undergraduate Student	UCD Non-Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Insufficient information for further review
945	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
946	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
947	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
948	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
949	Davis	Unknown Non-UCD Affiliate	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Insufficient information to provide resources; Insufficient information for further review
950	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
951	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review

# Appendix 1

Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
952	Davis	Former Affiliate	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Respondent not or no longer affiliated with UC Davis
953	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Alleged conduct not covered by Policy
954	Davis	Unknown	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Insufficient information to provide resources; Insufficient information for further review
955	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
956	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
957	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Alleged conduct not covered by Policy
958	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Complainant's request met
959	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
960	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
961	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
962	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Alleged conduct not covered by Policy
963	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
964	Davis	Unknown Unspecified Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Insufficient information to provide resources; Insufficient information for further review
965	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Complaint withdrawn
966	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Alleged conduct not covered by Policy
967	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
968	Davis	Unknown Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Insufficient information to provide resources; Insufficient information for further review
969	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
970	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence, Stalking	Resources provided; Insufficient information for further review
971	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Documented Discussion and Summary Letter
972	Davis	Non-UCD Affiliate	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
973	Davis	Undergraduate Student	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
974	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Alleged conduct not covered by Policy
975	Davis	Undergraduate Student	Unspecified Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review

# Appendix 1

Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
976	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review
977	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence, Stalking	Resources provided; Alleged conduct not covered by Policy
978	Davis	Unknown Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Insufficient information to provide resources; Insufficient information for further review
979	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
980	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
981	Davis	Patient	Unknown Staff	Non-UCD Affiliate	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Insufficient information for further review
982	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Insufficient information for further review
983	Davis	Unknown Unspecified Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Insufficient information to provide resources; Insufficient information for further review
984	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
985	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Alleged conduct not covered by Policy
986	Davis	Multiple Undergraduate Students	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Indecent Exposure	Resources provided; Insufficient information for further review
987	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
988	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
989	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
990	Davis	Unknown	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
991	Davis	Undergraduate Student	Unspecified Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
992	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
993	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Alleged conduct not covered by Policy
994	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
995	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
996	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
997	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
998	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
999	Davis	Anonymous Unspecified Student	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review



# Appendix 1

Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
1000	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
1001	Davis	Unknown	Unknown	UCD Non-Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review
1002	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
1003	Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review
1004	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1005	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1006	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1007	Davis	Unknown	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Invasion of Sexual Privacy	Insufficient information to provide resources; Insufficient information for further review
1008	Davis	Undergraduate Student	Unspecified Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review
1009	Davis	Unknown Unspecified Student	Unspecified Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review
1010	Davis	Undergraduate Student	Unspecified Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
1011	Davis	Anonymous Unspecified Student	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review
1012	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Alleged conduct not covered by Policy
1013	Davis	Unknown Unspecified Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Insufficient information to provide resources; Insufficient information for further review
1014	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1015	Davis	Former Affiliate	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1016	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
1017	Davis	Non-UCD Affiliate	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1018	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
1019	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified, Relationship Violence	Resources provided; Insufficient information for further review
1020	Davis	Unknown Unspecified Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Insufficient information to provide resources; Insufficient information for further review
1021	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1022	Davis	Former Affiliate	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Alleged conduct not covered by Policy
1023	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review

# Appendix 1

Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
1024	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
1025	Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Insufficient information for further review
1026	Davis	Unspecified Student	Undergraduate Student	Non-UCD Affiliate	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Insufficient information for further review
1027	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1028	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review
1029	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified, Relationship Violence	Resources provided; Insufficient information for further review
1030	Davis	Undergraduate Student	Unknown	Non-UCD Affiliate	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration, Relationship Violence	Resources provided; Alleged conduct not covered by Policy
1031	Davis	Graduate Student	Unknown Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
1032	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
1033	Davis	Undergraduate Student	Staff	Non-UCD Affiliate	Sexual Violence or Other Prohibited Behavior	Sexual Intercourse with a minor	Resources provided; Insufficient information for further review
1034	Davis	Undergraduate Student	Former Affiliate	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration, Relationship Violence	Resources provided; Insufficient information for further review
1035	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Indecent Exposure	Resources provided; Insufficient information for further review
1036	Davis	Former Affiliate	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1037	Davis	Anonymous Unspecified Student	Undergraduate Student	UCD Non-Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review
1038	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Invasion of Sexual Privacy	Resources provided; Insufficient information for further review
1039	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
1040	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Unspecified	Resources provided; Insufficient information for further review
1041	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
1042	Davis	Unknown	Graduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Indecent Exposure	Insufficient information to provide resources; Preliminary review-no evidence of policy violation
1043	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Alleged conduct not covered by Policy
1044	Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Insufficient information for further review
1045	Davis	Unknown Former Affiliate	Former Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Insufficient information to provide resources; Preliminary review-no evidence of policy violation
1046	Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Complainant's request met
1047	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review

# Appendix 1

Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
1048	Davis	Unknown Unspecified Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Insufficient information to provide resources; Insufficient information for further review
1049	Davis	Former Affiliate	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
1050	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
1051	Davis	Undergraduate Student	Unspecified Student	Non-UCD Affiliate	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Alleged conduct not covered by Policy
1052	Davis	Undergraduate Student	Unknown	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
1053	Davis	Unknown	Staff	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Exploitation	Resources provided; Alleged conduct not covered by Policy
1054	Davis	Former Affiliate	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review
1055	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Invasion of Sexual Privacy	Resources provided; Insufficient information for further review
1056	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
1057	Davis	Graduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1058	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Alleged conduct not covered by Policy
1059	Davis	Undergraduate Student, Unknown	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Complainant's request met
1060	Davis	Undergraduate Student	Unknown	Complainant	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
1061	Davis	Undergraduate Student	Unspecified Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Alleged conduct not covered by Policy
1062	Davis	Non-UCD Affiliate	Graduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1063	Davis	Non-UCD Affiliate	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Alleged conduct not covered by Policy
1064	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
1065	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
1066	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Alleged conduct not covered by Policy
1067	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
1068	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
1069	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
1070	Davis	Unknown Unspecified Student	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Insufficient information to provide resources; Respondent not or no longer affiliated with UC Davis
1071	Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Documented Discussion and Summary Letter

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Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
1072	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1073	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
1074	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
1075	Davis	Faculty	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
1076	Davis	Undergraduate Student	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence, Sexual Assault - Unspecified	Resources provided; Alleged conduct not covered by Policy
1077	Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Stalking	Resources provided; Insufficient information for further review
1078	Davis	Undergraduate Student	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
1079	Davis	Undergraduate Student	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
1080	Davis	Staff	Staff	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1081	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Invasion of Sexual Privacy	Resources provided; Insufficient information for further review
1082	Davis	Staff	Staff	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1083	Health System	Staff	Staff	Non-UCD Affiliate	Sexual Violence or Other Prohibited Behavior	Retaliation	Resources provided; Alleged conduct not covered by Policy
1084	Health System	Unknown Unspecified Student	Staff	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Insufficient information for further review
1085	Health System	Non-UCD Affiliate	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1086	Health System	Staff	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1087	Health System	Patient	Unknown Staff	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Insufficient information for further review
1088	Health System	Patient	Patient	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Respondent not or no longer affiliated with UC Davis
1089	Health System	Patient	Unknown Staff	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Insufficient information for further review
1090	Health System	Non-UCD Affiliate	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
1091	Health System	Non-UCD Affiliate	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
1092	Health System	Non-UCD Affiliate	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
1093	Health System	Non-UCD Affiliate	Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Alleged conduct not covered by Policy
1094	Health System	Staff	Staff	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Respondent not or no longer affiliated with UC Davis
1095	Health System	Multiple Staff	Patient	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Complainant's request met
1096	Health System	Staff	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review

# Appendix 1

Appendix 1: Summary of the reports received by the Compliance Office in 2021-22 and resolved via Informal Resolution

	A	B	C	D	E	F	G
1	Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
1097	Health System	Staff	Patient	Complainant	Sexual Violence or Other Prohibited Behavior	Indecent Exposure	Resources provided; Referred to UCD Police Department
1098	Health System	Staff	Unknown Non-UCD Affiliate	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Respondent not or no longer affiliated with UC Davis
1099	Health System	Patient	Staff	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Preliminary review- no evidence of policy violation
1100	Health System	Patient	Unknown Staff	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Preliminary review- no evidence of policy violation
1101	Health System	Multiple Patients	Unknown Staff	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Contact	Resources provided; Preliminary review- no evidence of policy violation
1102	Health System	Patient	Staff	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Resources provided; Preliminary review- no evidence of policy violation
1103	Health System	Staff	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1104	Health System	Staff	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1105	Health System	Staff	Unknown Patient	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Indecent Exposure	Resources provided; Complainant's request met
1106	Health System	Staff	Staff	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Retaliation	Resources provided; Preliminary review- no evidence of policy violation
1107	Health System	Staff	Staff	Complainant	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1108	Health System	Staff	Patient	Complainant	Sexual Violence or Other Prohibited Behavior	Indecent Exposure	Resources provided; Insufficient information for further review
1109	Health System	Patient	Multiple Unknown Staff	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Penetration	Resources provided; Preliminary review- no evidence of policy violation
1110	Health System	Staff	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Alleged conduct not covered by Policy
1111	Health System	Staff	Staff	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Insufficient information for further review
1112	Health System	Staff	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Resources provided; Alleged conduct not covered by Policy
1113	Health System	Unknown Staff	Unknown Faculty	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault - Unspecified	Insufficient information to provide resources; Insufficient information for further review
1114	Davis	Undergraduate Student	Unknown	Responsible Employee	Sexual Violence or Other Prohibited Behavior, Other	Unknown	Resources provided; Insufficient information for further review

# Appendix 2

2021-22 SVSH and other discrimination and harassment reports resolved via formal investigation

Campus	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Investigation Findings Summary	Final Outcome
Davis	Staff	Staff	Responsible Employee	Discrimination	Retaliation - Adverse Action	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Davis	Faculty	Faculty	Responsible Employee	Discrimination	Age, Race (Caucasian), Sex/Gender - Intimidating, Hostile, or Offensive Conduct	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Davis	Faculty	Faculty	Responsible Employee	Discrimination	Sex/Gender - Unequal Treatment	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Davis	Graduate Student	Faculty	Complainant	Discrimination	Retaliation	Violation of Discrimination and Harassment Policy	Respondent was issued Letter of Censure.
Davis	Faculty	Faculty	Responsible Employee	Discrimination	Sex/Gender (female) - Intimidating, Hostile, or Offensive Conduct	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Davis	Staff	Faculty	Responsible Employee	Discrimination	Race (Unspecified); Retaliation - Adverse Action	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Davis	Staff	Faculty	Complainant	Discrimination	Race (Unspecified); Retaliation - Adverse Action	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Davis	Multiple Undergraduate Students	Staff	Responsible Employee	Discrimination	Race (Black), Sex/Gender, Medical Condition - Intimidating, Hostile, or Offensive Conduct	No violation of Policy	Respondent resigned in lieu of corrective action for non-SVSH findings in report. Noted in personnel file.

# Appendix 2

2021-22 SVSH and other discrimination and harassment reports resolved via formal investigation

Campus	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Investigation Findings Summary	Final Outcome
Health System	Non-UCD Affiliate	Faculty	Responsible Employee	Discrimination	Nationality (Mexican) - Intimidating, Hostile, or Offensive Conduct	Violation of Discrimination and Harassment Policy	Pending completion of adjudication process.
Health System	Staff	Multiple Staff	Responsible Employee	Discrimination	Medical Condition, Sex/Gender - Intimidating, Hostile, or Offensive Conduct	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Health System	Staff	Faculty	Complainant	Discrimination	Medical Condition - Intimidating, Hostile, or Offensive Conduct	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Health System	Staff	Staff	Responsible Employee	Discrimination	Retaliation	Violation of Discrimination and Harassment Policy; Violation of Whistleblower Policy	Respondent was issued a Letter of Serious Concern and subsequently resigned. Noted in personnel file.
Davis	Undergraduate Student Staff	Multiple Staff	Responsible Employee	Discrimination; Sexual Violence or Other Prohibited Behavior	Sex/Gender - Unequal Treatment; Retaliation	Violation of Discrimination and Harassment Policy; Violation of Sexual Violence and Sexual Harassment Policy	Respondent resigned prior to completion of investigation. Noted in personnel file.
Davis	Undergraduate Student	Faculty	Complainant	Sexual Harassment	Hostile Environment - behavior	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Davis	Undergraduate Student Staff	Multiple Staff	Responsible Employee	Sexual Harassment	Sexual Harassment (Hostile Environment) - comments	No violation of Policy for Respondent 1. Violation of Sexual Violence and Sexual Harassment Policy for Respondent 2.	No sanction or corrective action issued to Respondent 1. Respondent 2 issued five-day Employment Suspension.
Davis	Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments, touching	Violation of Sexual Violence and Sexual Harassment Policy	Respondent was terminated from employment.
Davis	Organization or Department	Staff	Responsible Employee	Sexual Harassment	Sexual Harassment (Hostile Environment) - comments; behavior	Violation of Sexual Violence and Sexual Harassment Policy and Whistleblower Policy	Respondent was terminated from employment.

# Appendix 2

2021-22 SVSH and other discrimination and harassment reports resolved via formal investigation

Campus	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Investigation Findings Summary	Final Outcome
Davis	Multiple Graduate Students; Multiple Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Davis	Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments; Quid Pro Quo	Violation of Sexual Violence and Sexual Harassment Policy	Respondent was terminated from employment.
Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment	Hostile Environment - comments, behavior	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Davis	Multiple Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	Violation of Sexual Violence and Sexual Harassment Policy	Respondent was issued 5-day Employment Suspension without pay.
Health System	Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	No violation of Policy	Respondent issued 3-day Employment Suspension without Pay for non-SVSH findings in report.
Health System	Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments	n/a	Respondent left University employment prior to completion of investigation.
Health System	Staff	Staff	Responsible Employee	Sexual Harassment	Hostile Environment - comments; Quid Pro Quo	Preliminary determination is violation of Sexual Violence and Sexual Harassment Policy	Pending completion of adjudication process.
Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment; Sexual Violence or Other Prohibited Behavior	Hostile Environment - touching; Sexual Assault - Contact	Violation of Sexual Violence and Sexual Harassment Policy	Respondent was issued a two-year Academic Suspension.
Davis	Graduate Student	Graduate Student	Responsible Employee	Sexual Harassment; Sexual Violence or Other Prohibited Behavior	Hostile Environment - comments; Sexual Assault (Contact)	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Harassment; Sexual Violence or Other Prohibited Behavior	Hostile Environment - touching, comments; Sexual Assault (Contact)	n/a	Investigation administratively closed.



# Appendix 2

2021-22 SVSH and other discrimination and harassment reports resolved via formal investigation

Campus	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Investigation Findings Summary	Final Outcome
Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment; Sexual Violence or Other Prohibited Behavior	Sexual Harassment (Hostile Environment) - attention; Invasion of Sexual Privacy	Violation of Sexual Violence and Sexual Harassment Policy	Respondent was issued a one-year Academic Suspension.
Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment; Sexual Violence or Other Prohibited Behavior	Hostile Environment - touching; Sexual Assault (Contact)	Violation of Sexual Violence and Sexual Harassment Policy	Respondent was issued a 1-year Academic Suspension.
Davis	Graduate Student	Graduate Student	Responsible Employee	Sexual Harassment; Sexual Violence or Other Prohibited Behavior	Hostile Environment - comments, attention; Stalking	Violation of Sexual Violence and Sexual Harassment Policy	Respondent was issued a 3-year Academic Suspension.
Health System	Multiple Staff	Faculty	Responsible Employee	Sexual Harassment; Sexual Violence or Other Prohibited Behavior	Hostile Environment - touching; Sexual Assault (Contact)	Violation of Sexual Violence and Sexual Harassment Policy	Respondent resigned in lieu of serious discipline. Noted in personnel file.
Health System	Patient	Staff	Responsible Employee	Sexual Harassment; Sexual Violence or Other Prohibited Behavior	Hostile Environment - comments; Sexual Assault (Contact)	n/a	Investigation administratively closed.
Davis	Not Affiliated with UCD	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Stalking	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Davis	Undergraduate Student	Former Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault (Contact), Sexual Assault (Penetration)	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault (Penetration)	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Davis	Staff	Staff	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Invasion of Sexual Privacy	Violation of Sexual Violence and Sexual Harassment Policy	Respondent was terminated from employment.
Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault (Penetration)	Violation of Sexual Violence and Sexual Harassment Policy	Respondent issued 3-year Academic Suspension.
Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault (Contact)	Violation of Sexual Violence and Sexual Harassment Policy	Respondent was placed on Disciplinary Probation and assigned additional education.
Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault (Contact)	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault (Penetration)	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault (Penetration)	n/a	Investigation administratively closed.

# Appendix 2

2021-22 SVSH and other discrimination and harassment reports resolved via formal investigation

Campus	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Investigation Findings Summary	Final Outcome
Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Invasion of Sexual Privacy	n/a	Investigation administratively closed.
Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault (Contact); Sexual Assault (Penetration)	Violation of Sexual Violence and Sexual Harassment Policy	Respondent was academically dismissed.
Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault (Contact)	Violation of Sexual Violence and Sexual Harassment Policy	Respondent was issued a one-year Academic Suspension and referral to Alcohol, Tobacco, and Other Drug Intervention Services.
Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Invasion of Sexual Privacy; Relationship Violence; Sexual Assault (Contact); Sexual Assault (Penetration)	Preliminary determination is violation of Sexual Violence and Sexual Harassment Policy	Pending completion of adjudication process.
Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault (Penetration)	Violation of Sexual Violence and Sexual Harassment Policy	Pending completion of adjudication process.
Davis	Staff	Staff	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault (Contact)	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Davis	Graduate Student	Graduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault (Penetration)	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.
Health System	Staff	Staff	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Harassment (Hostile Environment) - comments	No violation of Policy	Case Closed. No sanction or corrective action issued to Respondent.

# Appendix 3

2021-22 SVSH and other discrimination and harassment reports resolved via alternative resolution

Location of Incident	Complainant Affiliation	Respondent Affiliation	Report Source	Complaint Category	Complaint Specific	Resolution Summary
Davis	Multiple Undergraduate	Undergraduate Student	Complainant	Sexual Harassment	Hostile Environment - repeated contact	Alternative Resolution
Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - comments, attention; Sexual Assault (Contact)	Alternative Resolution
Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Harassment, Sexual Violence or Other Prohibited Behavior	Hostile Environment - attention; Stalking	Alternative Resolution
Davis	Faculty	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Indecent Exposure	Alternative Resolution
Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Relationship Violence	Alternative Resolution
Davis	Undergraduate Student	Undergraduate Student	Complainant	Sexual Violence or Other Prohibited Behavior	Sexual Assault (Contact)	Alternative Resolution
Davis	Undergraduate Student	Undergraduate Student	Responsible Employee	Sexual Violence or Other Prohibited Behavior	Sexual Assault (Contact)	Alternative Resolution

# Appendix 4

## 2021-22 Administrative Agency complaints

Complainant	Respondent	Agency	Allegations	Findings
Staff	University	EEOC	Subject to harassment, intimidation, discipline based on reporting discrimination	Pending
Staff	University	DFEH	Denied hire/promotion; harassed based on gender	Pending
Staff	University	EEOC	Laid off, denied promotions based on national origin	Pending
Student and staff	University	OCR	Denied academic and employment disability accommodations	Pending
Staff	University	DFEH	Denied disability accommodations; denied job duties, forced to transfer based on sex/gender and disability	Pending
Employee	University	DFEH	Denied disability accommodation, issued letter of suspension and terminated based on disability and retaliation	Pending
Student	University	OCR	Denied disability accommodation for education program; subjected to discriminatory comments on the basis of disability; internal complaints were disregarded	Pending
Patient	University	DFEH	Denied services on the basis of sexual orientation	Pending
Patient	University	DFEH	Denied services on the basis of sexual orientation	Pending
Student	University	OCR	Subject to adverse treatment on the basis of disability	Pending
Employee	University	DFEH	Reprimanded, denied reasonable accommodation, terminated on the basis of disability and retaliation	Pending
Staff	University	DFEH	Verbal harassment, written reprimand on the basis of race, age, retaliation	Pending
Staff	University	DFEH	Harassed, denied accommodation based on disability	Closed; waived to EEOC. Right to Sue Notice issued.
Stu	University	DFEH	Denied housing because of disability	Pending
Faculty	University	DFEH	Job duties changed based on disability and FMLA usage	Immediate Right to Sue notice issued
Lecturer	University	DFEH	Denied equal pay based on age	Immediate Right to Sue notice issued
Staff	University	DFEH	Denied promotion based on age, ancestry, race	Pending
Staff	University	DFEH	Terminated based on disability and gender	Immediate Right to Sue notice issued
Staff	University	DFEH	Demoted, denied disability accommodation	Immediate Right to Sue notice issued